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SYMPOSIUM ON BUILDING THE FINANCIAL SYSTEM OF THE 21ST CENTURY: AN AGENDA FOR EUROPE AND THE UNITED STATES

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Kenechukwu Anadu
Vice President
Federal Reserve Bank Boston

Kenechukwu Anadu, CFA, CAIA is a vice president at the Federal Reserve Bank of Boston's Supervision, Regulation, and Credit Department, where he co-heads the Supervisory Research and Analysis Unit (SRA). SRA conducts research and analysis on the risks to financial stability arising from bank and non-banks and supports the Boston Fed.'s participation in numerous Federal Reserve System initiatives, including the stress testing program. Kenechukwu is also the co-chair of the Federal Reserve System's monitoring and analysis program for the largest, most systemically important banks. Prior to joining the Boston Fed., Kenechukwu was a fixed income analyst at the Massachusetts Pension Reserves Investment Management Board.

He earned his BSc. from Northeastern University and an MBA from Babson College

Lauren Anderson
Senior Vice President, Associate General Counsel Regulatory Affairs
Bank Policy Institute (BPI)

Lauren Anderson is Senior Vice President and Associate General Counsel for Regulatory Affairs at BPI. Ms. Anderson is responsible for developing advocacy and policy analysis in relation to cross-border banking issues; recovery and resolution matters; the international agenda on Too Big to Fail and fragmentation; and foreign prudential regulation impacting US banking operations.

Prior to joining BPI, Ms. Anderson worked at both the Bank of England and the FDIC. While at the Bank of England, Ms. Anderson was a senior advisor to the Governor on issues of sustainable finance. She headed up the Bank's EU Withdrawal Unit from 2016-2018, during which time she was responsible for overseeing the review of all EU financial services legislation to be "onshored" in the UK, advising the Government on trade-related issues for financial services, and advising the Bank's Financial Policy Committee and Prudential Risk Authority on matters of financial stability and prudential regulation as impacted by Brexit. Prior to her role of Head of EU Withdrawal, Ms. Anderson was Head of Resolution Execution responsible for overseeing the Bank's resolution planning work, engagement with the FSB and execution in relation to troubled firms. Before joining the Bank of England, Ms. Anderson was a Special Advisor and legal counsel at the FDIC working in the Chairman's Office during the financial crisis and through the drafting and passage of the Dodd-Frank Act. Prior to law school, Ms. Anderson worked at the Department of Interior as a policy analyst.

Ms. Anderson received her JD/MS from Tulane University Law School in New Orleans, Louisiana and is a member of the NY Bar. Ms. Anderson also holds an LLM in International Trade Law from the University of Leeds (UK) and received her BA from the University of Denver.

James J. Angel
Associate Professor
Georgetown University

Professor Angel specializes in the market structure and regulation of global financial markets, and he has visited over 70 financial exchanges around the world. He teaches undergraduate, MBA, and executive courses, including Investments and Capital Markets. "Dr. Jim" has testified before Congress about issues relating to the design of financial markets. In addition, he has been quoted in hundreds of newspaper articles and has appeared numerous times on radio and television. Dr. Jim began his professional career as a rate engineer at Pacific Gas and Electric, where he worked on FERC and CPUC related issues. Along the way he has also worked at BARRA (later part of Morgan Stanley) where he developed equity risk models. He has also served as a Visiting Academic Fellow in residence at the National Association of Securities Dealers (NASD – now FINRA) and as a visiting economist at the Shanghai Stock Exchange. He has also been chairman of the Nasdaq Economic Advisory Board, a member of the OTC Bulletin Board Advisory Committee, and has served on the board of directors of the Direct Edge Stock Exchanges (later part of BATS Global Markets). From 2012-2014 he was a visiting associate professor at the Wharton School of the University of Pennsylvania. Professor Angel is a co-inventor on 12 fintech patents.

Gregory Babyak
Global Head Regulatory and Policy Group
Bloomberg L.P.

In 2015 Mr. Babyak was named Global Head of Bloomberg L.P.'s Regulatory and Policy Group. Mr. Babyak joined Bloomberg L.P. in 2001 as Global Head of Government Affairs. Mr. Babyak has worked extensively on market structure, trade and competition issues in the United States, Europe and Asia; broadcast issues in the United States; and numerous other areas of regulation and policy. A frequent speaker at industry conferences, Mr. Babyak served from 2006 to 2018 as Co-Chair of the Securities Industry and Financial Markets Association's (SIFMA) Subcommittee on Market Data. He has also served since 2015 on the Board of the U.S. Chamber of Commerce's Center for Capital Markets Competitiveness.

Prior to joining Bloomberg LP, Mr. Babyak was a partner in the law firm of Royer, Mehle & Babyak (1986-2001). The firm provided counsel on the executive, legislative and regulatory functions of the federal government.

Before entering the private practice of law, Mr. Babyak served as Chief of Staff to Congressman James H. Scheuer (D-N.Y.), the Vice-Chairman of the Committee on Energy and Commerce of the U.S. House of Representatives. In this capacity, Mr. Babyak worked extensively on issues dealing with the capital markets.

Mr. Babyak received a Bachelor of Science degree from Duke University (summa cum laude) where he was a member of Phi Beta Kappa and a Juris Doctor degree from the Georgetown University Law Center. He is a member of the Bar of the District of Columbia.

Greg Baer
President & Chief Executive Office
Bank Policy Institute (BPI)

Greg Baer is the President and Chief Executive Officer at the Bank Policy Institute. Previously, he served as President of The Clearing House Association and Executive Vice President and General Counsel of The Clearing House Payments Company, the largest private sector payments operator in the United States.

Prior to joining The Clearing House, Mr. Baer was Managing Director and Head of Regulatory Policy at JPMorgan Chase. He previously served as General Counsel for Corporate and Regulatory Law at JPMorgan Chase, supervising the company's legal work with respect to financial reporting, global regulatory affairs, intellectual property, private equity and corporate M&A, and data protection and privacy.

Mr. Baer previously served as Deputy General Counsel for Corporate Law at Bank of America, and as a partner and co-head of the financial institutions group at Wilmer, Cutler, Pickering, Hale & Dorr. From 1999 to 2001, Mr. Baer served as Assistant Secretary for Financial Institutions at the U.S. Department of the Treasury, after serving as Deputy Assistant Secretary. Prior to working for the Treasury Department, Mr. Baer was managing senior counsel at the Board of Governors of the Federal Reserve System.

Mr. Baer received his J.D. cum laude from Harvard Law School in 1987 and served as managing editor of the Harvard Law Review. He received his A.B. with honors from the University of North Carolina at Chapel Hill in 1984.

Mr. Baer also serves as an adjunct professor at Georgetown University Law School and is a member of the Economic Club of Washington. He currently serves on the board of Honors Carolina, and previously served on the boards of Enterprise Community Partners, the DC College Access Program, and the Appleseed Foundation. He is also the author of two books: The Great Mutual Fund Trap (Random House, 2002) and Life: The Odds (And How to Improve Them) (Penguin-Putnam, 2003).

Chris Bates
Special Counsel & Consultant
Clifford Chance LLP

Chris Bates is Special Counsel within the Financial Regulation practice in London. He advises banks, securities firms and other financial institutions on issues associated with the UK exit from the EU, the regulatory response to the financial crisis, the impact of the EU single market programme, financial services regulation and regulatory capital, as well as advising on securities and derivatives transactions and mergers and acquisitions in the financial sector. Chris is a Visiting Professorial Fellow in the Centre for Commercial Law Studies, Queen Mary University of London. He was a partner in Clifford Chance from 1987 to 2021 and was formerly head of the firm's Financial Regulation practice.

Jennifer Beach
Economist
Department of Finance Canada

Jennifer is an Economist at the Department of Finance Canada, specializing in Canadian financial services trade and international relations.

Bobby Bean
Deputy Director, Division of Risk Management Supervision
Federal Deposit Insurance Corporation (FDIC)

Bobby Bean is a Deputy Director of the FDIC's Division of Risk Management Supervision. In this capacity he represents the FDIC internationally as a member of the Basel Committee on Banking Supervision and a plenary member of the Network of Central Banks and Supervisors for Greening the Financial System (NGFS). As Deputy Director, Bobby heads the Capital Markets and Accounting Policy and Examination Oversight Office and has overall responsibility for the development of policies to ensure financial institutions' compliance with federal banking laws and regulations for regulatory capital, capital markets matters, and financial accounting. In addition, he oversees international and domestic capital markets policy-related activities, including those for Global Systemically Important Banks, and leads the FDIC's efforts regarding the formulation and maintenance of the international and domestic regulatory capital standards and framework. With regard to climate-related matters, Bobby is the Chair of the FDIC's Interdivisional Working Group on Climate-Related Financial Risks as well as a representative to the Financial Stability Oversight Council's Climate-Related Financial Risk Committee.

Bobby holds a Bachelor of Science in Accounting from Pfeiffer University, a Master of Accounting from the University of North Carolina at Chapel Hill, a Master of Business Administration in International Business from Johns Hopkins University, a Certificate in Sustainable Business with Distinction from the University of Virginia, and is a doctoral candidate in Business Administration at the University of Dallas.

Brandon Becker
Managing Director, Deputy General Counsel
The Depository Trust & Clearing Corporation (DTCC)

Brandon Becker is a Managing Director and Deputy General Counsel at The Depository Trust & Clearing Corporation. Previously, he was the Chief Legal Officer at TIAA, Chair of the broker-dealer group at Wilmer Hale and Director of the Division of Market Regulation (now Trading & Markets) at the Securities and Exchange Commission.

Antoine Begasse
Financial Counselor
European Union

Antoine Begasse is the EU Financial Counselor at the Delegation of the European Union to the United States. He oversees Financial Services.

Previously, he was a policy officer at the European Commission within DG FISMA (Financial Stability, Financial Services and Capital Markets Union). He worked on international affairs and sustainable finance.

Antoine holds a Master's in Business Administration from the Louvain School of Management and a Master in European Affairs from the College of Europe.

Rostin Behnam
Chairman
Commodity Futures Trading Commission (CFTC)

Rostin Behnam was sworn in as the CFTC's 15th Chairman on January 4, 2022, following nomination by President Biden and unanimous confirmation by the U.S. Senate.
Chairman Behnam previously served as CFTC Commissioner beginning September, 2017, and as Acting Chairman effective January 21, 2021. Since joining the CFTC, Chairman Behnam individually and as sponsor of the CFTC's Market Risk Advisory Committee (MRAC) advocated for the CFTC's use of authority and expertise towards ensuring the derivatives markets operate transparently and fairly for participants and customers. Through his MRAC sponsorship, Chairman Behnam convened leading market experts and public interest groups to engage in public dialogue on such timely issues as global interest rate benchmark reform, central counterparty (CCP) risk and governance, and since the summer of 2019, climate-related market risk.

Chairman Behnam led the creation of the Climate-Related Market Risk Subcommittee to examine climate-related impacts on the financial system. The Subcommittee's efforts resulted in the September 2020 release of the report Managing Climate Risk in the U.S. Financial System, the first of-its-kind effort from a U.S. government entity.

Chairman Behnam's arrival at the CFTC followed extensive experiences in financial markets policy. As Senior Counsel to Senator Debbie Stabenow of Michigan, his major responsibilities included the implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act and related policy matters affecting the Treasury Department, the U.S. prudential regulators, and the Securities and Exchange Commission.

Prior to his work in the U.S. Senate, Chairman Behnam held several positions in financial markets and financial market regulation and compliance, including as a proprietary equities trader in New York City, an Investigator at the N.J. Bureau of Securities, within the state's Office of the Attorney General, and private practice, focusing on corporate and transactional law. Chairman Behnam received his A.B. from Georgetown University and law degree from the Syracuse University College of Law. Chairman Behnam lives in Baltimore with his wife and three daughters.

Kenneth E. Bentsen, Jr.
President and CEO
Securities Industry and Financial Markets Association (SIFMA)

Mr. Bentsen is President and CEO of SIFMA. Mr. Bentsen is also Chair of the International Council of Securities Associations (ICSA), Co-Chair of the British American Finance Alliance (BAFA), a coalition of 19 British and American trade associations and industry bodies representing both financial and professional services, and Chairman of Engage China, a coalition of 12 U.S. financial services trade associations united in support of high-level engagement with China. Previously, Mr. Bentsen served as President, and earlier as the Executive Vice President of Public Policy and Advocacy for SIFMA, responsible for SIFMA's legal, regulatory, and legislative affairs and advocacy initiatives. Mr. Bentsen has also served as CEO of the Global Financial Markets Association (GFMA), SIFMA's global affiliate.
Prior to joining SIFMA, Mr. Bentsen was president of the Equipment Leasing and Finance Association (ELFA), where he led the 700-member financial services trade association representing commercial and investment banks, financial services companies and manufacturers in the commercial finance sector. From 2003 to 2006, Mr. Bentsen was a Managing Director at Public Strategies, Inc. where he was a strategic and management consultant principally to the firm's financial services clients.

From 1995 to 2003, Mr. Bentsen served as a Member of the United States House of Representatives from Texas, where he sat on the House Financial Services Committee (and its predecessor House Banking and Financial Services Committee), and separately on the House Budget Committee. Mr. Bentsen was an active participant in the drafting and enactment of the Sarbanes-Oxley Act, the Gramm-Leach-Bliley Act, the Terrorism Risk Insurance Act and the Commodities Futures Modernization Act. During his tenure in the Congress, he played an active role in legislation and oversight of regulations affecting bank and thrift charters, federal deposit insurance, securities law, derivatives, and the federal government sponsored enterprises (Fannie Mae, Freddie Mac, and the FHLB system). Mr. Bentsen was also instrumental in the passage of the landmark Balanced Budget Act of 1997, particularly with respect to health care policy.

Prior to his service in Congress, Mr. Bentsen was an investment banker at George K. Baum & Co. and previously Drexel, Burnham Lambert, Inc., where he specialized in municipal and mortgage finance.

Mr. Bentsen is a Trustee of the Hirshhorn Museum and Sculpture Garden of the Smithsonian Institution and the Center for Congressional and Political Studies at the American University. He also serves on the boards of the United States Capitol Historical Society, the Memorial Foundation of the Martin Luther King, Jr. Memorial and the Committee on Capital Markets Regulation.

Mr. Bentsen has a B.A. from the University of St. Thomas and an M.P.A. from American University.

Stephen Berger
Managing Director, Global Head of Government & Regulatory Policy
Citadel

Stephen Berger is a Managing Director and Global Head of Government & Regulatory Policy at Citadel. He leads the firm's engagement on legislative and regulatory initiatives impacting the financial industry globally, including the Dodd-Frank Act in the United States and EMIR and MiFID II in Europe. Mr. Berger has testified before the House Agriculture Committee on the impact of the G-20 clearing and trade execution requirements, presented before CFTC advisory committee meetings on issues including package transactions and position limits, participated in workshops at the New York Fed and Chicago Fed on CCP recovery and resolution, and spoken on panels at MFA, FIA, and ISDA conferences on topics ranging from cross-border harmonization to margin requirements for uncleared swaps. Mr. Berger is a member of the CFTC's Market Risk Advisory Committee, NFA's CPO / CTA Advisory Committee, and the Federal Reserve Bank of Chicago's Working Group on Financial Markets. He has served as Chair of the Managed Funds Association's Derivatives and Swaps Committee and is an active participant in a number of MFA, AIMA, SIFMA AMG, and ISDA committees. Prior to joining Citadel, Mr. Berger was an Executive Director at UBS Investment Bank, where he led UBS's US financial regulatory reform team

Clay Berry
Managing Director, Head of Global Engagement
J.P Morgan Chase

Clay Berry is the Head of Global Engagement, managing the international government relations and global engagement teams at J.P. Morgan. Clay joined the firm in 2020 after more than 15 years at the U.S. Department of the Treasury, where she held senior career positions in both International Affairs and Domestic Finance. She was the Deputy Assistant Secretary for Europe and Eurasia, managing the Department's engagement across the region, and was Acting Assistant Secretary for Financial Markets, with responsibility for U.S. Treasury debt management policy, capital markets, and public finance. Previously she was the Chief Economist for Europe at the Institute of International Finance, leading IIF's research and analysis on emerging Europe and Eurasia. Clay also served as the U.S. Treasury Financial Attaché in Moscow, Russia. She held director positions at the U.S. Treasury focusing on a number of key international economic priorities, including managing Treasury's participation in the G-7 and the G-20. Prior to joining the U.S. Treasury in 2003, Clay worked in the Markets Group at the Federal Reserve Bank of New York and was a consultant at the World Bank. She holds a Masters in International Affairs from Columbia University's School of International and Public Affairs and a B.A. from Bowdoin College.

Dalia Blass
Head of External Affairs, Senior Managing Director
BlackRock

Dalia Blass, Senior Managing Director, is Head of External Affairs and a member of BlackRock's Global Executive Committee. External Affairs manages the firm's key reputational and policy challenges and opportunities. The global group, which includes Corporate Sustainability, Global Public Policy, Social Impact, Stakeholder Capitalism, and Academic Engagement, is focused on

deepening BlackRock's relationships with key stakeholders, creating forums for research, dialogue and debate, and advocating on behalf of the interests of our clients.

Prior to BlackRock, Dalia was Director of the Division of Investment Management at the U.S. Securities & Exchange Commission (SEC). Under her leadership, the Division of Investment Management finalized more than 70 regulatory initiatives to modernize the regulatory framework for investment companies and investment advisers, improve the investor experience through modernized disclosure and outreach efforts, elevate the standards of conduct for financial professionals, and re-evaluate the role and responsibilities of fund boards of directors. Dalia was a member of the SEC's senior-level Covid-19 Market Monitoring Group and contributed to the staff's report on the U.S. Credit Markets Interconnectedness and Effects of the Covid-19 Economic Shock. Dalia also co-chaired the Financial Stability Board's Technical Experts Group on Money Market Funds.

Dalia previously served in a number of leadership roles in the Division of Investment Management for a total of 14 years at the SEC. Dalia has received the SEC's Distinguished Service Award and the Manuel F. Cohen Award. Dalia was also named in Barron's inaugural list of the 100 Most Influential Women in U.S. Finance.

In addition to her time at the SEC, Dalia was in private practice at the law firm Ropes & Gray where she advised on a broad range of investment fund, private equity, and regulatory matters. Earlier in her career, she practiced regulatory law at O'Melveny and Meyers in Washington, D.C., and corporate law at Shearman & Sterling in New York and London.

Dalia earned a J.D. from Columbia University School of Law. She received her B.A. in international studies from the American University and studied political science at the American University in Cairo.

Martin Boer
Senior Director of Regulatory Affairs
Institute of International Finance (IIF)

Martin Boer is the Senior Director of Regulatory Affairs at the IIF. He advocates and contributes to the IIF work on regulatory consistency, impact assessment, prudential capital and liquidity standards, insurance regulation, non-bank/non-insurance regulatory issues.

He is also the IIF lead on issues surrounding Cyber Security, including regulatory developments and the impact on financial institutions and overall financial stability.

Mr. Boer previously served as the Secretary General of the European Financial Services Round Table (EFR), a Brussels based industry organization comprising the CEO's and Chairmen of Europe's 22 leading banks and insurance companies. Before that he served in various positions at ING Group, as Group Head of Public Relations in Amsterdam and a Senior Manager of Public and Government Affairs in Brussels. He has also worked as a consultant for UNDP in Namibia.

He holds a B.A. in Philosophy from the University of California, Santa Barbara and a Master's Degree in International Political Economy from Columbia University in New York.

G. Andrew Bonnewell
Chief Government Affairs Officer
Federated Homes

As Chief Government Affairs Officer, G. Andrew ("Andy") Bonnewell is responsible for federal and state legislative initiatives and affairs of Federated Hermes. He is also Senior Corporate Counsel to Federated Hermes and Senior Vice President and Secretary of its investment advisor subsidiaries.

Federated Hermes, NYSE-listed, is a leading nonbank financial company, currently managing over $669 billion.

Konrad Borowicz
Assistant Professor of Financial Regulations
Tilburg University

Konrad Borowicz is Assistant Professor of Financial Regulation at Tilburg University in the Netherlands and Research Coordinator of the Tilburg Law and Economics Center (TILEC), a Center of Excellence at Tilburg University, renowned for its interdisciplinary research on the governance of economic activity and optimal market design. At TILEC, he is a member of a research project funded by the European Research Council investigating the origins and causes of the dominance of private rule-making bodies, focusing on their mutability and resilience. Prior to joining Tilburg University, Konrad was a finance lawyer in London. He obtained his J.S.D. from Columbia Law School, a Ph.D. from the European University Institute, and an LLM from Duke Law School.

Jeffrey T. Brown
Managing Director Legislative and Regulatory Affairs
Charles Schwab & Co., Inc.

With over three decades of securities markets experience, Jeffrey Brown leads Charles Schwab's Office of Legislative and Regulatory Affairs. 2003, Mr. Brown joined Schwab as Senior Vice President and General Counsel of Schwab Capital Markets, L.P.

Mr. Brown began his career as an option trader on the floor of the Philadelphia Stock Exchange, later serving on its Board of Governors. In 1992, Mr. Brown joined the US Securities and Exchange Commission, where he served as Senior Counsel in the Division of Market Regulation.

Upon leaving the Commission, Mr. Brown helped lead a US project to assist the Government of Romania in creating their emerging securities market. He later was General Counsel at the Cincinnati Stock Exchange and chaired the Operating Committee of the National Market System Plan governing NASDAQ securities.

Mr. Brown is an alumnus of the Wharton School of the University of Pennsylvania and Ohio Northern School of Law.

Meghan Burns
Manager, Center for Regulatory Strategy
Deloitte

Meghan Burns is a former regulator and Manager in Deloitte's Center for Regulatory Strategy. Prior to Deloitte, Meghan worked for the Financial Industry Regulatory Authority (FINRA) in the Office of the Chief Economist. Meghan also worked for the Municipal Securities Rulemaking Board as an Economic Researcher. There, she helped the organization enact a new regulatory framework to fulfill its obligations under the Dodd-Frank Act and supported the development of market transparency initiatives. At FINRA, Meghan published a paper on diverging risk perspectives among regulators and industry. She holds an MBA from Georgetown University's McDonough School of Business and has a bachelor's degree from the College of William & Mary.

Angus M. Canvin
Director of International Affairs
UK Finance

Angus is Director of International Affairs at UK Finance, which represents banking, payments and related financial services firms doing business within and from the UK. Angus has responsibility for UK Finance engagement internationally, including to represent UK Finance to stakeholders abroad.

His current position follows a long career as a financial services policy professional in public service (European Parliament, UK FSA and Bank of England) and in the private sector as a member of the Goldman Sachs' EMEA government relations team and then the Investment Association. Angus began his career as a lawyer (qualified in Australia and the UK).

Joseph Carapiet
Managing Director, Government Relations
Blackstone

Joe Carapiet is a Managing Director of Government Relations. He helps navigate regulatory and legislative matters for the firm and its portfolio companies.

Before joining Blackstone, Mr. Carapiet was special advisor to the Federal Reserve's Vice Chairman for Supervision. He previously served as chief counsel for the U.S. Senate Committee on Banking, Housing, and Urban Affairs. He was a senior counsel for the U.S. House Committee on Financial Services and an attorney at the Federal Reserve. He previously

practiced corporate law at Cravath, Swaine & Moore and Sullivan & Cromwell and was a law clerk on the U.S. Court of Appeals for the Eighth Circuit.

Mr. Carapiet holds a J.D. from the University of Pennsylvania Law School and a B.A. from Boston College.

Michael Clements
Director, Financial Markets and Community Investment
Government Accountability Office (U.S GAO)

Michael Clements is a Director in the Financial Markets and Community Investment team at the Government Accountability Office (GAO). In this position, Mr. Clements leads a body of work examining the regulation of financial institutions and markets, with oversight of the Federal Reserve System, Federal Deposit Insurance Corporation, and Securities and Exchange Commission, among others. Mr. Clements has led teams producing a range of products on issues such as financial stability and macroprudential issues, diversity efforts of federal investment programs and government-sponsored enterprises, safety and soundness oversight, and anti-money laundering and illicit finance. Mr. Clements' prior GAO experience includes 15 years examining the regulation of the communications and telecommunications industries. In addition to his GAO experience, Mr. Clements has 4 years accounting and financial planning and analysis experience in the private sector, and 7-year experience as an adjunct professor, with experience teaching both graduate and undergraduate economics and finance. Mr. Clements holds a B.B.A., M.P.A., and Ph.D.

John Coates
Professor; Member
Harvard Law School, SEC's Investor Advisory Committee

John Coates is a nationally recognized expert in corporate transactions, corporate control and governance, mergers, and acquisitions (M&A), financial institutions, and securities. He has served as General Counsel and Acting Director of the Division of Corporation Finance at the Securities and Exchange Commission (SEC), and as a member of the SEC's Investor Advisory Committee.

Prior to joining the Harvard faculty, Professor Coates was a partner at Wachtell, Lipton, Rosen & Katz, where he specialized in financial institutions and in M&A. He has provided consulting services to the Department of Justice, the Department of the Treasury, multiple financial regulatory agencies, and the New York Stock Exchange. Professor Coates has also consulted for participants in financial markets, including individuals, mutual funds, hedge funds, investment banks, commercial banks, and private equity funds. In addition, Professor Coates served as an independent consultant for the SEC in the first and largest of the "Fair Fund" distributions to investors.

William Coen
Chairman
International Financial Reporting Standards Advisory Council

Bill Coen was Secretary General of the Basel Committee on Banking Supervision from 2014 to 2019 where he directed the work of the BCBS and managed its Secretariat. He chaired the BCBS's Policy Development Group, which developed and recommended the "Basel III" post-crisis reforms. He also chaired the BCBS's Corporate Governance Task Force and the Coherence and Calibration Task Force. Bill had previously served as Deputy Secretary General from 2007 and his responsibilities focused on the BCBS's response to the global financial crisis, including coordinating the various Basel III policy initiatives. His career with the BCBS spanned 20 years. He has also worked for the Board of Governors of the Federal Reserve System in Washington DC, with a variety of responsibilities relating to banking policy, supervision and licensing, and the Office of the Comptroller of the Currency. He began his career in 1985 as a credit officer of a New York City-based bank, serving as a loan officer responsible for consumer credit and retail mortgage lending.

Bill currently serves as an independent non-executive director of China Construction Bank, a global systemically important bank and 2nd largest bank in the world by assets and serves on several board committees. He is a member of the Global Advisory Board for Mitsubishi UFJ Group, also a global systemically important bank and the largest bank in Japan. Bill chairs the IFRS Foundation's Advisory Council, serves on the board of the Toronto Centre Leadership Centre, and is a Visiting Scholar for the International Monetary Fund. He provides advisory support for regtech and fintech firms on topics related to regulation, business strategy, group-wide management and governance, and payments and settlements.

Bill is a native of New York City and has a Master of Business Administration degree from Fordham University and Bachelor of Science degree from Manhattan College.

Nicholas Collier
Managing Director
City of London Corporation

Nick Collier joined the City of London Corporation as its new Managing Director of the Brussels office in March 2019. He was previOU8ly Global Head of Government Relations at Refinitiv (Thomson Reu□) and before that worked at a range of organizations in the financial services sector, including Morgan Stanley and the Bank of England and, until recently, served as Chair of The City UK's Public Affairs Group as well as Deputy Chair of the International Regulatory Strategy Group.

Nick is chair of diplomatic engagement at the International Business and Diplomatic Exchange.

He holds an MSC in Economics and Finance from the London School of Economics and a BA from Oxford.

Hugh C. Conroy, Jr.
Partner
Cleary Gottlieb Steen & Hamilton LLP

Hugh C. Conroy Jr. is a partner at Cleary Gottlieb Steen & Hamilton LLP based in the New York office. He is distinguished as one of the leading financial services regulation lawyers by IFLR 1000: The Guide to the World's Leading Law Firms, The Legal 500 U.S. and Chambers USA. Mr. Conroy's practice focuses on bank and bank holding company regulatory issues. Mr. Conroy currently devotes a significant portion of his practice to advice and advocacy regarding the Dodd-Frank Act and rules promulgated thereunder, including rules related to capital, liquidity, the Volcker Rule and banking regulation of derivatives and securities financing transactions. Mr. Conroy has presented on bank regulatory issues at conferences sponsored by the American Bankers Association, the American Bar Association, the Financial Markets Association, and the Securities Industry and Financial Markets Association, and has been a guest lecturer on bank regulatory matters at Columbia University School of Law and New York University School of Law. Mr. Conroy is a former Vice-Chair of the American Bar Association Banking Law Committee (2014-2017), and a former Vice-Chair (2007-2010) and Chair (2010-2014) of the Banking Law Committee's subcommittee on Securities, Capital Markets and Derivatives.

Mr. Conroy co-authors (with Derek M. Bush) a chapter entitled "U.S. Regulation of International Activities of U.S. Banking Organizations" (Regulation of Foreign Banks & Affiliates in the United States, Ninth Edition, 2016). Mr. Conroy is also a co-author (with Robert L. Tortoriello and Derek M. Bush) of the Guide to Bank Underwriting, Dealing & Brokerage Activities (ThomsonReuters, 22nd ed., 2018). From 2004 to 2011, Mr. Conroy served as associate general counsel and managing director in Citigroup's Bank Regulatory Office. From 1996 to 2004, he was an associate in Sullivan & Cromwell LLP's Banking Group practice. He received a J.D. degree from Columbia University School of Law in 1996 and an undergraduate degree, summa cum laude, from the College of William and Mary in 1992. In 1992-93, Mr. Conroy was a Fulbright Scholar associated with Kanazawa University in Japan.

Andrea M. Corcoran
Founder and Principal
Align International LLC

Andrea M Corcoran is the founder and principal of Align International, LLC, www.aligninternational.com, which provides bespoke consultancy services. She established Align in 2008 following a public service career, where she served nationally as Director of two operating Divisions of the US Commodity Futures Trading Commission (CFTC), and internationally, as Chair of the International Organisation of Securities Commissions (IOSCO) Task Force on Implementation of the Objectives and Principles of Securities Regulation which designed the original model Assessment Methodology used for global securities market financial sector assessments conducted per the G-20 post 2008 crisis Pittsburgh Declaration. She also chaired the IOSCO committee that developed early principles for oversight of screen-based derivatives trading, addressing the integrity of markets for derivatives used to hedge commodity and financial products. Recent activities include a 2020 amicus brief to the US Supreme Court addressing the enforcement scope of the CFTC on commodity based products, a 2021 hybrid conference on insurance and infrastructure held by the AZN, the regulatory

authority in Slovenia, terms as US observer to the market consultative panel of the Committee of European Securities Regulators (CESR) the predecessor to ESMA; Chair, through 2017, of the Securities Advisory Board of the Toronto Centre, www.torontocentre.org a non-governmental, civil society organization which delivers leadership and crisis management programs currently focussing on fintech, climate and governance to senior professionals of securities, banking and insurance supervisors; adjunct professor at Georgetown Law Center; and public director for NYSE-liffe US and the US self-regulatory organisation for listed derivatives professionals, National Futures Association (NFA).

Ms. Corcoran has led a number of regulatory and supervisory policy responses to financial crises and innovation and adapted her experience to addressing global emerging risks to clients on five continents. Admitted to the Futures Hall of Fame in 2013, Ms. Corcoran received the highest awards for government executive service from US Presidents Reagan and Clinton respectively. Her degrees are from Stanford and Harvard and she has received a 2019 Certificate from MIT Sloan School of Management for completion of its on-line Executive program in BlockChain Technologies: Business Innovation and Application

Panos Delimatsis
Professor, European and International Economic Law
Tilburg University

Panos Delimatsis is Professor of European and International Economic Law at Tilburg University since 2013. He is Director of the Tilburg Law and Economics Center (TILEC), an interdisciplinary Center of Excellence, the biggest of its kind in Europe, studying the governance of economic activity. Panos co-leads the research cluster on 'Institutions' of the TILEC Research Program 2018-2023 and TILEC's work on standardization, competition and innovation. In the academic year 2015-16, Panos was a visiting Scholar and a Fellow at the program on international financial systems at Harvard Law School.

In December 2016, he was awarded an ERC Consolidator Grant (€2 million), the most prestigious mid-level personal grant at the EU level, for his research project on the resilience strategies of non-State standard-setters in the areas of finance and manufacturing.

Panos is a renowned expert in the field of trade in services and standardization and a regular high-profile speaker in international events in these areas. His most recent research has focused on the EU single market for services; financial innovation and trade-related aspects; and issues of transnational governance and private regulation in standard-setting. His work has appeared in top refereed international and European journals.

Thomas Dohrn
Financial Counselor
German Embassy

Dr. Thomas Dohrn is Financial Counselor at the German Embassy to the United States with responsibility for financial markets policy and regulation, AML measures and sanctions in the

financial sector. Before this posting he was Legal and Policy Expert in the German Federal Ministry of Finance, dealing mostly with regulatory issues of the banking sector. He joined the Ministry in 2008 and has worked on several national and European Union legislative proposals in the fields of prudential bank regulation and bank recovery and resolution. From 2016 to 2019 he was responsible for the negotiations on the ongoing review of EU banking regulation. Until August 2016, he was seconded as national expert to the European Banking Authority (EBA) for three years. Dr. Dohrn represented Germany in several Financial Stability Board (FSB) working groups on bank resolution. He started his career as a lawyer in banking and financing law at an international law firm in 2006.

Samira Fazili
Executive Director, Head of Public Policy & Government Affairs, Americas
Nomura

Samira Fazili heads Nomura's Public Policy and Government Affairs Office. Prior to joining Nomura in 2019, she worked in the Public Policy office at Credit Suisse and at a financial services-focused government affairs firm, Rich Feuer Anderson. Before joining the private sector, Samira worked in both the House and the Senate for Senator Gary Peters (D-MI) and on Senator Carl Levin's (D-MI) Permanent Subcommittee on Investigations.

Samira received her law degree from Indiana University Maurer School of Law and her bachelor's degree in political science with a minor in South Asian studies from the University of Michigan.

James Febeo
Senior Vice President, Head of Federal Government Relations and Public Policy
Fidelity

James ("Jim") Febeo is Senior Vice President and Head of Federal Government Relations and Public Policy for Fidelity Investments, a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 20 million individuals, institutions, and financial intermediaries.

Since joining Fidelity in February 2000, Mr. Febeo has worked to align policy outcomes with the firm's strategic objectives. He has advocated on behalf of the firm's interests in Washington and globally on a wide range of legislative and regulatory policies impacting the financial services sector.

In his current role, Mr. Febeo is responsible for managing the firm's engagement with government officials on regulatory and legislative policies that could impact Fidelity, its products and services, and the firm's ability to serve its clients and customers. His areas of expertise include financial services regulation, including the Dodd-Frank Act, mutual fund, brokerage and securities regulation, and retirement and education savings policy.
He currently serves on the Board of Governors of the Investment Adviser Association, is a member of the Board for the Program on International Financials Systems and the Board of the Council on Economic Education, and co-chairs the New England Council's Financial Services Committee.

Prior to joining Fidelity, Mr. Febeo represented the nation's largest retail banks as a Washington lobbyist with the Consumer Bankers Association. Mr. Febeo received his undergraduate degree with honors from Emory University in 1992 and earned his juris doctorate from the University of Connecticut School of Law in 1995. He is admitted to the bar in Massachusetts, Connecticut, and the District of Columbia.

Edouard Fernandez-Bollo
Member of Supervisory Board
European Central Bank

Following post-graduate studies at the École normale supérieure de Saint-Cloud in the humanities and social sciences section, and after acquiring experience in several branches of the French civil service, Edouard Fernandez-Bollo joined the Banque de France in 1988. There, he held various positions related to banking regulation and licensing, European harmonisation and banking resolution issues.

Mr. Fernandez-Bollo became General Counsel of the Commission Bancaire, the French supervisory authority, in 2004 and Deputy Secretary General in 2008. He was Chair of the Basel Committee's expert group on anti-money laundering and combating the financing of terrorism from 2007 to 2020. From 2010 to 2013 he was Deputy Secretary General of the new Autorité de contrôle prudentiel et de résolution (ACPR), the integrated French prudential supervisor, and from 2014 to August 2019 he was Secretary General of the ACPR, member of the Management Board of the European Banking Authority and member of the Basel Committee on Banking Supervision. Edouard Fernandez-Bollo began his five-year term as Member of the Supervisory Board of the European Central Bank in September 2019.

Chris Finger
Director, Division of Supervision and Regulation
Federal Reserve Board of Governors

Christopher Finger is an associate director in the Division of Supervision and Regulation at the Federal Reserve Board of Governors. He serves as the officer over economic and financial analysis related to development and implementation of the Board's banking regulations; as the co-chair of the supervision of capital planning and positions at the largest banking organizations; and as the coordinator of model risk management governance for the supervisory stress test models. Internationally, he has served as chair of the Credit Risk Group of the Basel Committee on Banking Supervision, and as the Board's representative on working groups related to sovereign exposures and total loss absorbing capital at the Basel Committee and the Financial Stability Board. Prior to joining the Board of Governors, Mr. Finger served as head of research and head of credit products at RiskMetrics Group and was one of the co-founders of the firm. He began his career in the Risk Management Services division of JP Morgan. Mr. Finger holds a PhD in Applied Mathematics from Princeton University and a BS in Mathematics and Physics from Duke University.

Edward Fishman
Adjunct Professor of International and Public Affairs
Columbia University

Edward Fishman's career spans business, public policy, and technology. He is currently a Director at Via, a Nonresident Senior Fellow at the Atlantic Council, and an Adjunct Fellow at the Center for a New American Security (CNAS). His research focuses on sanctions and the evolving ways states use economic power to advance their foreign policy interests. From 2015 to 2017, Mr. Fishman served at the U.S. Department of State as a member of the Secretary's Policy Planning Staff. In that role, Mr. Fishman advised Secretary of State John Kerry on Europe and Eurasia and led the staff's work on economic sanctions, long-range strategic planning, and international order and norms. He also managed the Secretary of State's Foreign Affairs Policy Board and represented the State Department at the National Security Council-led Strategic Planning Small Group, an interagency body that conducted wide-ranging strategic assessments of trends in geopolitics, economics, and technology.

Before joining the Policy Planning Staff, Mr. Fishman was the Russia and Europe Lead in the State Department's Office of Economic Sanctions Policy and Implementation, where he played a central role in designing and negotiating international sanctions in response to Russia's aggression in Ukraine. Earlier, Mr. Fishman served as a member of the Iran sanctions team, where he developed policies to strengthen implementation of sanctions against Iran and maintain pressure during the international nuclear negotiations.

Mr. Fishman has also served at the Pentagon as special assistant to the Chairman of the Joint Chiefs of Staff, at the Treasury Department as special assistant to the Under Secretary for Terrorism and Financial Intelligence, and at technology companies in the autonomous vehicle and cybersecurity industries. Mr. Fishman is a recipient of the State Department's Superior Honor Award (twice) and its Meritorious Honor Award, having been recognized for his contributions to U.S. policy toward Ukraine, Russia, Belarus, and Iran.

Prior to his government service, Mr. Fishman was an editor at Foreign Affairs. His writings have appeared in The New York Times, The Wall Street Journal, The Washington Post, Boston Review, Foreign Affairs, Foreign Policy, and other outlets, and he appears regularly as an expert commentator on television and radio programs. A native of Bryn Mawr, Pennsylvania, Mr. Fishman holds a B.A. in History from Yale University, where he was elected to Phi Beta Kappa; an M.Phil. in International Relations from the University of Cambridge; and an M.B.A. from Stanford University, where he graduated as an Arjay Miller Scholar.

Jillien Flores
Executive Vice President and Managing Director, Head of Global Government Affairs
Managed Funds Association

Jillien Flores serves as Executive Vice President, Managing Director, Head of Global Government Affairs at Managed Funds Association (MFA).

Flores joined MFA after six years at Vanguard, one of the world's leading asset managers. There, she advocated before Washington policymakers on a range of issues related to US capital markets, tax, and retirement policy. Leveraging policy depth, strategy, and relationships,

Flores achieved policy outcomes beneficial to Vanguard's clients on issues including equity market structure, ESG and corporate governance, and systemic risk.

Throughout her career, Flores has sought opportunities to advance Diversity, Equity, and Inclusion within the financial services sector, serving in leadership roles of related efforts at Vanguard.

Prior to joining Vanguard, Flores was Director of Government Relations at Porterfield, Lowenthal, Fettig & Sears, where she represented clients before Congress and the federal financial regulators in the asset management, insurance, securities, and biotechnology sectors. She was involved in the legislative and rule-writing process for several financial services laws and regulations, including the Dodd-Frank Wall Street Reform and Consumer Protection Act and the Jumpstart Our Business Startups Act.
Flores earned a B.A. from the George Washington University in Washington, DC.

James H. Freis, Jr.
Founder
Market Integrity Solutions LLC

James H. Freis, Jr. has pursued a career dedicated to promoting the integrity of the global financial markets. He currently leads Market Integrity Solutions, a consulting firm providing executive advice on global financial regulation, good governance, and innovative technology solutions; and is Chairman of CRINDATA, a fintech startup providing operational risk management solutions for outsourcing by financial institutions.

From 2007 to 2012, Mr. Freis was Director (CEO) of the United States Treasury Department's Financial Crimes Enforcement Network (FinCEN), the lead U.S. Government official for anti-money laundering and counter-terrorist financing regulations, and head of the country's financial intelligence unit (FIU). From 2014 through 2020 he was Managing Director, Chief Compliance Officer, and Group Anti-Money Laundering Officer of the Deutsche Börse Group, Europe's largest provider of systemically significant financial market infrastructures. In June 2020, he was asked to join the management board of the FinTech payments services provider, Wirecard AG, to professionalize the company and oversee its regulated businesses. Within his first day, he exposed internal fraud, was promoted to CEO, and then initiated a global restructuring and eventual insolvency proceedings of what had been a blue-chip company. Earlier in his career, he worked at the Federal Reserve Bank of New York, the Bank for International Settlements in Basel, Switzerland, the Treasury Department's Office of General Counsel, and the law firm Cleary Gottlieb Steen & Hamilton. Mr Freis is a graduate of Georgetown University, earned his Juris Doctor from Harvard University, and is a Chartered Financial Analyst (CFA) charterholder.

Stefan M. Gavell
Vice-Chair of Executive Education
Program on International Financial Systems (PIFS)

Stefan Gavell was previously Executive Vice President and Global Head of Regulatory, Industry and Government Affairs for State Street Corporation with responsibility for global regulatory,

industry and government relations. In this position, he was responsible for representing State Street with regulators, legislators and other policymakers worldwide.

Mr. Gavell has supported and been actively involved in the Program on International Financial Systems as a speaker and moderator for more than 10 years.

Representing State Street, and in conjunction with leading industry associations, Mr. Gavell successfully advocated on legislative and regulatory issues, in the US, Europe, and Asia, on banking, securities and asset management matters. Mr. Gavell has deep experience in financial markets, market regulation and governance as a result of his close to 40-year career and held numerous positions at State Street, including as Treasurer, acting CFO, and Head of International Global Markets, and held expatriate assignments in Hong Kong, Japan, the UK and France. He previously held positions as President of State Street International Holdings, and on the Board of State Street Global Markets, LLC. He served on served on numerous governance committees including management, financial policy, asset and liability, compliance and conduct, and numerous banks, investment management, and securities subsidiary boards. He joined State Street in 1990 as General Manager in Japan.
Mr. Gavell is a member of numerous professional bodies, including long tenure as President of the American Bankers Association Securities Association. He currently serves on the board of the Global Financial Markets Association and is a frequent speaker on banking and financial markets topics at conferences and seminars.

Stefan received his B.A. in Economics and Government from Oberlin College and his M.B.A. from Columbia University.

Tyler Gellasch
Executive Director
Healthy Markets Association

Tyler Gellasch is Executive Director of a leading capital markets trade association focused on promoting more fair, orderly, and efficient markets. Gellasch is a legal and regulatory analysis and advocacy expert.

Prior to launching HMA, Gellasch’s public service included five years as Counsel in the US Senate, where he helped with drafting and implementing several laws, including provisions of the Dodd-Frank Act and the STOCK Act. Gellasch also served as Counsel to an SEC Commissioner.

In private practice, Gellasch has served as a general counsel of a boutique investment bank, as well as associate at Mayer Brown LLP and Morgan, Lewis & Bockius, LLP. Gellasch is also a Founder of Myrtle Makena, LLC, a consulting firm. Gellasch received his undergraduate degree from Case Western Reserve University. Both his juris doctor and master's degree in economics were awarded by Duke University.

Apostolos Gkoutzinis
Partner
Milbank

Apostolos Gkoutzinis is a leading international capital markets lawyer and a partner in the firm's Global Capital Markets Group in London.

Ranked as a top tier practitioner by Chambers & Partners and Legal 500 UK, he is one of the most widely respected advisers in his field globally. Focusing on US securities laws and international debt and equity offerings, he covers an exceptionally broad practice across international corporate, capital markets and finance transactions. His experience includes advising on IPOs, international rights offerings and other equity offerings, high-yield and investment-grade bond offerings and private placements, large acquisition financings, tender offers and other liability management exercises, M&A transactions, NPL portfolio sales, restructurings, recapitalizations and privatizations.

In addition to advising on a spectrum of headline transactions globally, Apostolos is one of the most prominent international lawyers in relation to Greece and leads one of the strongest Greek practices available in the market. His track record on post-financial crisis Greek deals is unsurpassed, providing strategic legal advice to most of the major financial and strategic investors in Greece and most of the major banks and listed companies of the country. As well as advising private companies and foreign investors on matters involving private and public capital, restructurings and refinancings, since 2005, Apostolos has also represented the issuers or the underwriters in most of the international and cross-border equity and debt offerings by Greek issuers.

Simon Gleeson
Partner
Clifford Chance LLP

Simon Gleeson joined Clifford Chance in 2007 as a partner in the firm's Financial Regulation group, where he specializes in financial markets law and regulation. He has advised Governments, regulators and public bodies as well as banks, investment firms, fund managers and other financial institutions on a wide range of regulatory issues and has worked with regulators and governments around the world on the establishment of regulatory regimes.

He has been a member of the Financial Markets Law Committee, chairs the Institute of International Finance's Committee on Cross-Border Bank Resolution, has written numerous books and articles on financial regulation, and is the author of *International Regulation of Banking* and *Bank Resolution and Crisis Management*, both published by Oxford University Press.

He is a visiting Professor at Queen Mary University of London. He has also been appointed as a Deputy High Court Judge.

Jason Goggins
Vice President of Federal Government Relations
Fidelity

Jason Goggins is a Vice President of Federal Government Relations for Fidelity Investments, a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 40 million individuals, institutions, and financial intermediaries.
Since joining Fidelity in April of 2018, Mr. Goggins has advocated on behalf of the firm's interests in Washington on a wide range of legislative and regulatory policies impacting the financial services sector. Mr. Goggins is responsible for managing the firm's day-to-day engagement with the Senate Banking Committee, the House Financial Services Committee, and numerous federal financial regulators. His areas of expertise include financial services regulations and legislation, including the Dodd-Frank Act, JOBS Act, and mutual fund, securities, commodities and derivatives regulations.
Prior to joining Fidelity, Mr. Goggins represented numerous Fortune 500 financial services companies as Managing Director of the Daly Consulting Group. Previously, he served in multiple federal government posts including as Chief of Staff and Special Counsel to CFTC Chairman J. Christopher Giancarlo from 2014-2016. That followed stints as Senior Professional Staff and Counsel at the House Agriculture Committee from 2012-2014, and as a Counsel from 2009-2012 to the House Financial Services Committee.

Mr. Goggins received his undergraduate degree in Economics and Political Science, cum laude, from the University of Alabama in 2003, and earned his juris doctorate from the Cumberland School of Law at Samford University in 2008. He is admitted to bar in Alabama.

Charles Goodhart
Emeritus Professor of Banking and Finance
London School of Economics

Charles Goodhart, CBE, FBA is Emeritus Professor of Banking and Finance with the Financial Markets Group at the LSE, having previously, 1987-2005, been its Deputy Director. Until retirement in 2002, he had been the Norman Sosnow Professor of Banking and Finance at LSE since 1985. Previously, he had worked at the Bank of England for seventeen years as a monetary adviser, becoming a Chief Adviser in 1980. In 1997 he was appointed one of the outside independent members of the Bank of England's new Monetary Policy Committee until May 2000. Earlier he had taught at Cambridge and LSE. Besides numerous articles, he wrote a couple of books on monetary history; a graduate monetary textbook, 'Money, Information and Uncertainty' (2nd Ed. 1989); two collections of papers on monetary policy, 'Monetary Theory and Practice' (1984) and 'The Central Bank and The Financial System' (1995); and several books and articles on Financial Stability, on which subject he was Adviser to the Governor of the BoE, 2002-2004, and numerous other studies relating to financial markets, monetary policy and history. His latest books include 'The Basel Committee on Banking Supervision: A History of the Early Years, 1974-1997', (2011), and 'The Regulatory Response to the Financial Crisis', (2009).

Robert Greene
Fellow, Carnegie Endowment for International Peace, Non-resident Scholar
Program on International Financial Systems (PIFS), Cyber Policy Initiative

Mr. Greene's client work focuses on a range of risk and strategy issues related to derivatives markets, equity market structure, payments systems, and financial technology companies. He is also a nonresident scholar at the Carnegie Endowment for International Peace's Cyber Policy Initiative and Asia Program, focusing on Chinese financial sector trends and on topics at the nexus of cyberspace governance, global finance, and national security.

Prior to joining Patomak, Mr. Greene was a Senior Advisor at the U.S. Department of the Treasury. In this capacity, he primarily worked on banking, cryptocurrency, and insurance issues, as well as on financial inclusion and economic recovery initiatives launched in response to the COVID-19 pandemic. Mr. Greene earned the Meritorious Service Award for his work at the Department.

Before Treasury, Mr. Greene was a consultant for the Eurasia Group, developing analyses of Chinese fintech and cyberspace governance policy initiatives, and also worked in Beijing for almost two years at the Program for International Financial Systems (PIFS), affiliated with Harvard University.

While working for PIFS, where he currently serves as a fellow, Mr. Greene was also a visiting research fellow at Tsinghua University's People's Bank of China School of Finance National Institute of Financial Research – one of China's top financial markets think tanks. During this time, his research focused on Chinese equity market reforms, Chinese conglomerate structures, and central bank digital currency. He also helped organize dialogues on fintech topics between U.S. and Asian government and industry leaders.

Earlier in his career, Mr. Greene was a strategic advisor at Patomak and was an associate at IBM's Promontory Financial Group. Mr. Greene has also worked for the U.S. House of Representatives Committee on Financial Services, Harvard University's Mossavar-Rahmani Center for Business and Government, and George Mason University's Mercatus Center.

Mr. Greene was a Luce Scholar and is proficient in Mandarin Chinese. He earned his M.P.P. from Harvard University's John F. Kennedy School of Government and his B.B.A., magna cum laude, from the College of William & Mary in Williamsburg, Virginia.

Bill Grimes
Associate Dean for Academic Affairs, Professor of International Relations and Political Science
Boston University

William W. Grimes is Associate Dean for Academic Affairs and Professor of International Relations at the Frederick S. Pardee School of Global Studies at Boston University, where he has taught since 1996. He previously served as chair of the Department of International Relations and as the first director of the BU Center for the Study of Asia. He has also spent time as a post-doctoral researcher and as a visiting assistant professor at Harvard University.

Professor Grimes' work bridges comparative and international political economy. His work on Japanese politics and political economy includes Unmaking the Japanese Miracle: Macroeconomic Politics, 1985–2000 (Cornell University Press, 2001) and his co-edited volume

(with Ulrike Schaede) Japan's Managed Globalization: Adapting to the 21st Century (M.E. Sharpe, 2002), as well as a variety of articles and book chapters on the impacts of financial globalization in Japan, Japanese monetary policy making, US-Japan relations, and related topics. He has also written extensively on East Asian regionalism, including his book Currency and Contest in East Asia: The Great Power Politics of Financial Regionalism (Cornell University Press, 2008), which was awarded the 2010 Masayoshi Ohira Prize for outstanding book on the Pacific Basin and received an Honorable Mention for the Asia Society's Bernard Schwartz Book Award, as well as a series of scholarly and policy articles and chapters on this rapidly evolving issue. He also works on issues related to financial policy and financial innovation.

Professor Grimes has spent time as a visiting researcher at the Japanese Ministry of Finance, the Bank of Japan, and several universities in Japan and Australia. He has been the recipient of various fellowships and awards over the years, including two Fulbright fellowships and a book-writing grant from the Japan Foundation Center for Global Partnership. He is an active lecturer in Japan and the United States in both academic and policy venues and has been recognized for his teaching and advising at Boston University. Professor Grimes is committed to policy-relevant research and works regularly with government officials and financial professionals, particularly from the United States and Japan. He is a life member of the Council on Foreign Relations and has been a Research Associate of the National Asia Research Program.
Professor Grimes received his B.A. in East Asian Studies from Yale University, his M.P.A. in International Relations from the Woodrow Wilson School of International and Public Affairs at Princeton University, and his Ph.D. in Politics from Princeton University.
Professor Grimes' specializations include Japanese and East Asian political economy, Japanese politics, and international political economy.

Luis de Guindos
Vice President
European Central Bank

Luis de Guindos is Vice-President of the European Central Bank since 1 June 2018. In this capacity, he is also a member of the Executive Board, the Governing Council and General Council of the European Central Bank.

He was Minister of Economy, Industry and Competitiveness (2016-2018) and Minister of Economy and Competitiveness (2011-2016). He was Secretary of State for Economic Affairs and member of the Economic and Financial Committee of the EU (2002-2004). Prior to that, he was Secretary General for Economic and Competition Policy (2000-2002) and Director General (1996-2000).

Mr de Guindos was Head of Financial Services (2008-2009) at PricewaterhouseCoopers and Director at IE Business School and PwC Center for the Finance Sector (2010-2011). He was Chief Executive Officer Iberia at Lehman Brothers and Chief Executive Officer at Nomura Securities (2006-2008).

He graduated with honours in BSc in Economics from Colegio Universitario de Estudios Financieros (CUNEF) in Spain (1982) and graduated as State Economist and Trade Expert (1984).

John Gulliver
Kenneth C. Griffin Executive Director
Program on International Financial Systems (PIFS)

John Gulliver is the Executive Director of the Program on International Financial Systems. John oversees all of PIFS' activities, including research, events, and training programs for financial regulators. PIFS' research focuses on policy issues impacting the global financial system, such as equity market structure, liquidity in U.S. Treasury markets, stress testing large banking institutions and the rise of cloud computing. John drafts PIFS' reports and formulates policy recommendations with PIFS' President, Hal Scott. PIFS' events include international symposia, US-Japan (24 years), US-China (19 years), US-Europe (19 years).

In addition to hosting the symposia, John works with PIFS' partners and sponsors to determine the topics, speakers, and participants at each private off-the-record event. PIFS also delivers several training programs for financial regulators, including two programs in partnership with the International Organization of Securities Commissions and a program for financial regulators in China. John collaborates with academics, government officials and private-sector experts to determine the policy issues of focus for each program and designs the curriculum.

Ryo Ishihara
Chief Representative, Washington, D.C. Representative Office
Mizuho Bank, Ltd.

Since beginning at Mizuho in 1993, Ryo has led in supporting Japanese companies with foreign direct investments (FDI) and negotiating corporate alliances with local government on behalf of foreign clients. Ryo advises clients on an individual basis on negotiations, regulatory adjustment, and investment structuring.

Previously, Ryo provided analysis on global government affairs to the senior executives at Mizuho Tokyo HQ. To enhance Mizuho's global presence, Ryo daily maintained relationships with embassy officials in Japan and officials visiting to Japan as well as Japanese government authorities / international organizations.

Ryo became the first Chief Representative of the Washington D.C. Representative Office in October 2017.

Howell E. Jackson
Professor of Law
Harvard Law School

Howell Jackson is the James S. Reid, Jr., Professor of Law at Harvard Law School. His research interests include financial regulation, consumer protection, international finance, and federal budget policy. Professor Jackson has served as a consultant to the United States Treasury Department, the United Nations Development Program, the World Bank, and the International Monetary Fund. He also frequently consults with government agencies and

congressional committees on issues related to financial regulation. From 2013 to 2015, he was a Visiting Scholar at the Consumer Financial Protection Bureau. Professor Jackson is the editor of the SSRN Regulation of Financial Institutions eJournal, a senior editor for the Cambridge University Press Series on International Corporate Law and Financial Regulation, and chairman of the board of College Retirement Equities Fund (CREF) and affiliated TIAA-CREF investment companies. He is also a director of Commonwealth, a non-profit dedicated to strengthening financial opportunities for low and moderate-income consumers. Professor Jackson has co-authored three books—Financial Regulation: Law and Policy (Foundation Press, 2016), Analytical Methods for Lawyers (Foundation Press, 2003; Third Edition, 2017), and Regulation of Financial Institutions (West, 1999)—and written numerous scholarly articles. He is co-editor of Fiscal Challenges: An Interdisciplinary Approach to Budget Policy (Cambridge University Press, 2008). At Harvard University, Professor Jackson has served as Senior Adviser to the President and Acting Dean of Harvard Law School. Before joining the Harvard Law School faculty in 1989, Professor Jackson was a law clerk for Associate Justice Thurgood Marshall and practiced law in Washington, D.C. Professor Jackson received his J.D. and M.B.A. degrees from Harvard University in 1982 and a B.A. from Brown University in 1976.

Robert J. Jackson
Professor of Law
New York University, School of Law

Robert J. Jackson, Jr. is Professor of Law, Co-Director of the Institute for Corporate Governance and Finance, and Director of the Program on Corporate Law and Policy at the New York University School of Law. He was nominated and unanimously confirmed by the Senate to be Commissioner of the U.S. Securities and Exchange Commission in 2017 and served in that role until February 2020.
Prior to his nomination to the Commission, Professor Jackson taught at Columbia Law School, where students honored him with the Reese Prize for Excellence in Teaching. Before that, he served as a senior policy advisor at the U.S. Treasury Department during the financial crisis and as deputy to Kenneth Feinberg, Treasury's Special Master on Executive Compensation. Earlier in his career, Professor Jackson practiced law at Wachtell, Lipton, Rosen & Katz and was an investment banker at Bear, Stearns. Jackson holds two undergraduate degrees from the University of Pennsylvania, an MBA in Finance from the Wharton School of Business, an MPP from Harvard's Kennedy School, and a JD from Harvard Law School. He was born in the Bronx, is a lifelong fan of the New York Yankees, and lives in New York with his wife, Bryana and son Briggs.

Ilan Jacobs
Director, Head of ESG Policy, Regulation and Government Affairs
Citi

Ilan joined Citi's Global Government Affairs team in February 2015.
An expert in Environmental, Social and Governance (ESG) regulation and policy, Ilan regularly writes and speaks on ESG developments, creating Citi's thought leadership and representing Citi at client, trade association and policymaker events.

Ilan is currently Vice Chair of the Financial Services Committee of the American Chamber of Commerce to the European Union and Co-Chair of the International Regulatory Strategy Group's (IRSG) Sustainable Finance Taxonomy Working Group. He is also an alternate member of the Green Technical Advisory Group (GTAG), an official body providing independent advice to Government on implementing a UK sustainable finance taxonomy.

Prior to joining Citi, Ilan spent over eight years in a variety of roles at GE, where he was responsible for Government Affairs and Policy for GE Capital across Europe.

Outside of work, Ilan is the Chair of Governors of Alma Primary, a local school in the London Borough of Barnet, where he lives with his wife and 2 daughters.

Linda Jeng
Chief Policy & Regulatory Officer
Center Consortium, Georgetown University

Linda Jeng is the Chief Policy & Regulatory Officer at the Centre Consortium, the standards organization behind the stablecoin USDC. She is also the Visiting Scholar on Financial Technology and Adjunct Professor of Law at Georgetown University Law Center's Institute for International Economic Law and a Senior Lecturing Fellow at Duke Law School. Her research interests include open banking, digital assets, and DeFi.

Prior to these roles, she was the Global Head of Policy at Transparent Financial Systems. She also served as Chief of Staff for Risk, Data and Surveillance at the Federal Reserve Board of Governors where she chaired the Basel Committee on Banking Supervision's working group on Open Banking. She has spent most of her career working on financial stability and regulatory reform, including at: the Financial Stability Board in Basel drafting global standards addressing Too-Big-to-Fail, the U.S. Senate drafting the Dodd-Frank Act, and the U.S. Department of Treasury negotiating the international implementation of G20 post-crisis reforms. Linda has worked at the Securities & Exchange Commission, the Office of the Comptroller of the Currency, and Credit Agricole in Paris. She has a J.D. from Columbia Law School, a Master of Advanced Studies from University of Toulouse, France, and a B.A. from Duke University.

Shigesuke Kashiwagi
Senior Advisor
EQT Group

Shigesuke Kashiwagi is a senior advisor for Swedish private equity firm EQT AB, which recently opened an office in Japan. He also provides an advisory service to SJML, Singapore Japan Mobile Lab, s start-up fintech company. Last year, he established a consulting firm for Arts and Finance in March, Bwac JAPAN, which stands for Broadway Arts and Capital with his wife.

Shigesuke worked at Nomura Holdings for 34 years spending half of his career on the trading floor in Tokyo, New York, and London. Then, he assumed executive roles as the Global Head of

Fixed Income, Regional Head for the Americas, Global Head of Regulatory Affairs, and CFO. After he left Nomura in 2016, he served as the Country Head of Japan for Schroders, British Asset Management Company until 2020.

He holds BA in Economics from Keio University (1982), and MBA from New York University (1987). He is married with Noriko, and they have a son who works in New York.

Aaron Klein
Senior Fellow, Economic Studies
Brookings Institution

Aaron Klein is a senior fellow in Economic Studies at the Brookings Institution, focused on financial technology and regulation; payments; macroeconomics; and infrastructure finance and policy. Prior to joining Brookings in 2016, he directed the Bipartisan Policy Center's Financial Regulatory Reform Initiative. Between 2009 and 2012, Klein served as the deputy assistant secretary for economic policy at the Department of Treasury. He worked on financial regulatory reform issues including crafting and helping secure passage of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. Previously, Klein served as chief economist of the Senate Banking, Housing and Urban Affairs Committee for Chairmen Chris Dodd and Paul Sarbanes. He worked on numerous pieces of major legislation, including the Emergency Economic Stabilization Act (aka TARP), Housing and Economic Recovery Act, and the SAFETEA Act of 2005—re-writing America's surface transportation system. Klein serves on the Board of the PC Project, a charity dedicated to finding a cure for Pachyonychia Congenita. He also teaches at the University of Pennsylvania's Wharton School of Business

Donald Kohn
Chair, International Economics, Senior Fellow, Economic Studies
Brookings Institution

Donald Kohn holds the Robert V. Roosa Chair in International Economics and is a senior fellow in the Economic Studies program at the Brookings Institution. Kohn is a 40-year veteran of the Federal Reserve system, serving as member and then vice chair of the Board of Governors from 2002-2010. He also served as an external member of the Financial Policy Committee at the Bank of England from 2011-2021.

Rick Lacaille
Executive Vice President & Global Head of ESG Initiatives
State Street

Rick Lacaille is executive vice president and global head of environmental, social and governance (ESG) initiatives at State Street. In his current role, he provides enterprise

leadership for our ESG program to ensure a well-coordinated and optimized approach to our ESG policies, products, initiatives and thought leadership.

Prior to his current role, Rick was the global chief investment officer (CIO) at State Street Global Advisors from 2008 to April 2021. He was also the global head of Quantitative Active Equities and European CIO. Before joining State Street Global Advisors in 2000, he held various roles in Quantitative Fund Management and Research at Gartmore Investment Management, including the head of Quantitative Research and Structured Equities.

Rick has a Bachelor of Science (Honors) degree in operational research from Lancaster University and Master of Science degree in econometrics from London Guildhall University. Rick is a member of the MSCI Advisory Council, the FTSE Russell ESG Advisory and Sustainable Investment Committee and is Chair of the Asset Management Committee of the Investment Association. He is a writer and broadcaster on investment issues and speaks frequently at industry conferences.

Katja Langenbucher
Professor of Law, House of Finance
Goethe-University

Katja is a law professor at Goethe-University's House of Finance in Frankfurt. She is also an affiliated professor at Ecole de Droit de SciencesPo, Paris, and has been visiting faculty at Fordham Law School, NYC, for five years. She has held visiting positions at Paris I, Sorbonne; Wirtschaftsuniversität, Vienna; the London School of Economics, London; Columbia Law School, NYC, and PennLaw, Philadelphia (Bok Visiting International Professorship).
Katja has published extensively on corporate, banking and securities law. Currently she is working on two main projects, covering algorithmic discrimination as well as privacy in Fintech credit scoring and failures of traditional corporate governance in corporate scandals ranging from Enron over Theranos and Greensill to Wirecard.

She is a member of the German BaFin's supervisory board (Verwaltungsrat), of the German Federal Ministry of Finance's working group on capital markets law and of the Conseil d'administration of the Fondation Nationale de Sciences Politique. Katja was a member of the supervisory board of Postbank (2014-18) and of the EU Commission's High-Level Forum on the Capital Market Union (2019-20).

Sandrine Lapinsonniere
Director, EU Public Policy Team
International Swaps and Derivatives Association (ISDA)

Sandrine Lapinsonnière is a Director in the EU Public Policy team at the International Swaps and Derivatives Association (ISDA), based in Brussels. She works on capital and banking regulatory issue as well as resolution.

Prior to this, she worked as a senior consultant advising clients on European policy and regulatory developments.

She holds a Masters Degree in Public Affairs from the French 'Institute des Sciences Politiques' (Sciences Po Paris).

Jacques de Larosière
Former Chairman, Former Director
Eurofi, International Monetary Fund (IMF)

Jacques de Larosière entered the French Treasury in 1958 where he worked mostly on international financial matters. From 1974 to 1978 he was Undersecretary for Monetary Affairs. From 1978 to 1987, he was elected Managing Director of the International Monetary Fund (IMF). He was appointed Governor of the Banque de France from 1987 to 1993, and President of the European Bank for Reconstruction and Development (EBRD) from 1993 to 1998.
He held the position of Advisor to the Chairman at BNP Paribas from 1998 to 2008. President of the Observatoire de l'Epargne Européenne -OEE- 1999, Chairman of Eurofi, 2000, Co-Chairman of the "Committee on Crisis Management and Crisis Resolution in Emerging Markets" of the Institute of International Finance, 2003-2009. He was a Trustee of Reuters Founders Share Company Limited (1999-2004). Member of the Group of Trustees for the Principles for Emerging Markets, 2006. He is the Chairman of the Strategic Committee of the Agence France Trésor, 2004. He is Chairman of the Advisory Board of MidEuropa Fund (2006). Member of the International Advisory Board of China Development Bank (2005-2009). Member of the Board of Directors of France Telecom (1998-2009). He was a director of the Stichting NYSE Euronext (the Dutch Foundation) and trustee of NYSE Group Trust I (the U.S. Trust) (2007-2014). He chaired (Nov. 2008 - Feb. 2009) a high-level committee on the reform of the European financial supervisory architecture. The recommendations contained in this report have been agreed by the European Union Parliament and Council of Ministry in September 2010.

Member of the "Académie des Sciences Morales et Politiques" of the Institut de France. Grand Officier of the Legion d'Honneur, KBE. Member of the French Society of the Cincinnati.

Craig Lazzara
Managing Director
S&P Dow Jones Indices

Craig Lazzara is a Managing Director in the Core Product Management group at S&P Dow Jones Indices (S&P DJI). His responsibilities focus on providing thought leadership and educational outreach for S&P DJI's core, alternative beta, and style indices globally. Prior to assuming his current role, Craig founded the Index Investment Strategy team, which provides research and commentary across the S&P DJI product set, with particular emphasis on the active vs. passive debate, factor indices, and index dynamics. He previously served as product manager for S&P DJI's U.S. equity and real estate indices. These include the S&P 500®and the S&P CoreLogic Case-Shiller Home Price Indices, two of the most widely tracked benchmarks in the world.

Prior to joining S&P Indices in 2009, Craig was a managing director of Abacus Analytics, a quantitative consulting firm serving the brokerage and investment management communities. He previously directed marketing and client service for ETF Advisors and Salomon Smith Barney's Global Equity Index Group, as well as for the Equity Portfolio Analysis group at Salomon Brothers.

A Chartered Financial Analyst, Craig is a graduate of Princeton University and Harvard Business School.

Allison Lee
Commissioner
U.S. Securities and Exchange Commission (SEC)

Allison Herren Lee was appointed by President Donald Trump to the U.S. Securities and Exchange Commission, unanimously confirmed by the U.S. Senate, and sworn into office on July 8, 2019. Ms. Lee was designated Acting Chair of the Commission by President Joseph R. Biden, Jr., on January 21, 2021.

Commissioner Lee brings to the SEC over two decades of experience as a securities law practitioner. Most recently, she has written, lectured, and taught courses internationally in Spain and Italy on financial regulation and corporate law. Commissioner Lee served for over a decade in various roles at the SEC, including as counsel to Commissioner Kara Stein, and as Senior Counsel in the Division of Enforcement's Complex Financial Instruments Unit. In addition, she has served as a Special Assistant U.S. Attorney, was a member of the American Bar Association's former Committee on Public Company Disclosure, and participated on a USAID project in Armenia, assisting in the drafting of periodic reporting and disclosure provisions for a comprehensive law of the Republic of Armenia on Securities Market Regulation.

Prior to government service, Commissioner Lee was a partner at Sherman & Howard LLC, focusing on securities, antitrust, and commercial litigation. A member of the Colorado bar, she holds a bachelor's degree in Business from the University of Colorado and a JD from the University of Denver College of Law, where she was salutatorian, a Chancellor's Scholar, and served on the Law Review.

Kristin-Toretta Lee
Global Financial Services Industry Lead, Security Assurance
Amazon Web Services (AWS)

Kristin Toretta Lee is the Global Financial Services Industry (FSI) Lead for Security Assurance at AWS. Security Assurance is responsible for providing customers with the confidence to deploy and operate material workloads securely on AWS. In her role, Kristin ensures AWS is appropriately scaling to support its growing FSI customer base and increasing FSI regulatory scrutiny. Kristin's program focuses on security to build trust and transparency through assurance, education, and thought leadership.

Before joining AWS, Kristin practiced as a cybersecurity attorney at Baker & McKenzie LLP, where she advised clients related to data security concerns, cybersecurity oversight, incident response, and risk. Prior to her practice, Kristin served as a government official at the U.S. Department of the Treasury in the office of Terrorism and Financial Intelligence, where she designed the cyber sanctions framework and worked on strategic regulations to counter illicit financial threats.

Kristin has a Bachelor of Arts from Harvard University, a Master of International Relations from Sciences Po Paris, and a Juris Doctorate from George Washington University Law School. She lives in Old Greenwich, Connecticut with her husband, two sons, and gregarious golden-doodle.

Tilman Lüder
Head of Unit, Securities Markets
Directorate-General for Financial Stability, Financial Services and Capital Mkts Union, European Commission

Tilman Lüder holds a doctorate in law from the University of Tübingen.

Before joining the European Commission in 1999, Tilman was as an associate in Cleary Gottlieb Steen and Hamilton LLP. He worked on European and German antitrust and merger control issues.
His first job in the Commission was that of a case manager dealing with antitrust and state aid cases in the area of post and telecommunications in the Directorate General for Competition.

In 2002, he became the spokesperson to Commissioner Mario Monti, responsible for Competition, a position he successfully held until being appointed, in 2005, Head of the Copyright Unit of Directorate General for the Internal Market (DG MARKT).
He pursued his career to become Head of the Asset Management Unit (2011-2015) in DG MARKT, later the Directorate General for Financial Stability, Financial Services and the Capital Markets Union (DG FISMA).

As of September 2015, Tilman heads the Securities Markets Unit in DG FISMA.

Jose Manuel Campa
Chairman
European Banking Authority

After studying law and economics at the University of Oviedo and earning his PhD in economics from Harvard University, Mr. Campa taught finance at New York University and the IESE Business School and consulted for a number of international organizations including the World Bank, the IMF, the Bank for International Settlements and the European Commission.

He then served as the 10th Secretary of State for Economy of the Spanish government and was most recently Director of Regulatory affairs of the Santander Bank.

Katherine K. Martin
Managing Director
Rock Creek Global Advisors LLC

Katherine K. Martin is a Managing Director at Rock Creek Global Advisors LLC, an international economic policy advisory firm, where she focuses on international financial regulatory and policy matters. Ms. Martin is advising multinational companies and financial institutions on financial regulatory issues, geopolitical risk, and matters arising in global fora and in US bilateral dialogues with other jurisdictions.

From 2015 to 2021, Ms. Martin was the Associate Director in the Office of International Affairs at the US Securities and Exchange Commission where she oversaw the agency's International Regulatory Policy and Supervisory Cooperation Program. Ms. Martin led the SEC's multilateral and bilateral engagement and advised the Commission on a wide range of cross-border regulatory policy and supervisory cooperation issues. Ms. Martin represented the SEC on several FSB and IOSCO committees and in bilateral dialogues, including the US-EU Joint Financial Regulatory Forum and the US-UK Financial Regulatory Working Group. Prior to her appointment as Associate Director, Ms. Martin served in various roles at the SEC for nearly a decade, including in the Office of Clearance and Settlement in the Division of Trading and Markets, where she worked on financial market infrastructure policy issues.

Before joining the Commission, Ms. Martin practiced securities and corporate law at Sullivan & Cromwell LLP and Hogan Lovells in New York and Washington, D.C.

Timothy Massad
Research Fellow
Kennedy School of Government Harvard University

Timothy Massad is currently a Research Fellow at the Kennedy School of Government at Harvard University, an Adjunct Professor of Law at Georgetown University Law Center, a nonresident scholar at the Brookings Institution and a consultant on financial regulatory and fintech issues.

Mr. Massad served as Chairman of the U.S. Commodity Futures Trading Commission from 2014-2017. Under his leadership, the agency implemented the Dodd Frank reforms of the over-the-counter swaps market; harmonized many aspects of cross-border regulation, including reaching a landmark agreement with the European Union on clearinghouse oversight; declared cryptocurrencies to be commodities; and enhanced cybersecurity protections.

Previously, Mr. Massad served as the Assistant Secretary for Financial Stability of the U.S. Department of the Treasury. In that capacity, he oversaw the Troubled Asset Relief Program (TARP), the principal U.S. governmental response to the 2008 financial crisis. During his tenure, Treasury recovered more on all the crisis investments than was disbursed. Mr. Massad was with the Treasury from 2009 to 2014 and also served as a counselor to the Treasury Secretary.

Prior to his government service, Mr. Massad was a partner in the law firm of Cravath, Swaine & Moore, LLP. His practice included corporate finance, derivatives and advising boards of directors. He managed the firm's Hong Kong office from 1999 to 2002 and represented governments and corporations on transactions in China and throughout Asia. Mr. Massad was also one of a small group of lawyers who drafted the original ISDA master agreements for swaps.

Mr. Massad received a J.D. from Harvard Law School and a B.A. from Harvard College.

Kerstin Mathias
Director, Regulatory Affairs and Policy
City of London Corporation

Kerstin Mathias is Regulatory Affairs and Policy Director of the City of London Corporation where she leads the City's work on UK and EU policy. global trade and sustainable finance. Previously she was Head of Policy at TheCityUK and held roles at Germany's Permanent Representation to the EU and the Konrad-Foundation. Kerstin holds degrees in Public Policy and European Politics from the London School of Economics at the University of Passau.

Richard P. Mattione
Partner
GMO LLC

Richard P Mattione is a Retired Partner of Boston-based investment firm GMO LLC. In 2021 his book, *Follow the Money: Fed Largesse, Inflation, and Moon Shots in Financial Markets,* was published by Palmetto Publishing.

At GMO he served as portfolio manager, primarily for Japanese and Latin American equities. In addition he conducted research on Japan and on Europe's sovereign and banking problems, culminating in a series of white papers including "Japan: After the Quake, After the Floods," "Et Tu, Berlusconi? The Daunting (But Not Always Insuperable) Arithmetic of Sovereign Debt," and "You Can Bank on It: European Banks Need a Ton of Money."

Prior to his time at GMO, he spent a decade at JPMorgan, divided between the International Economics, M&A-Advisory, and Securities groups. Before that he was at the Brookings Institution, conducting research on both OPEC investments after the oil shocks and on the global (mostly Latin American) sovereign debt crisis of the 1980s.

Mr. Mattione holds a Ph.D. in Economics from Harvard University, along with a B.S. degree in Systems Science and Mathematics from Washington University in St Louis.

Kylin McCardle
Customer Advocacy Lead, Financial Services
Amazon Web Services (AWS)

Kylin McCardle works for Amazon Web Services (AWS), where she serves as AWS Financial Services' Customer Advocacy Lead for North America. Kylin joined Amazon in February 2021. She is responsible for partnering with financial services customers to explore regulatory strategies that enable their cloud journey.

Kylin is a skilled financial services public policy strategist with over 17 years of experience in federal government service, legislative advocacy, regulatory affairs, and regulatory risk management. Her career spans the executive branch, both chambers of Congress, and legislative/regulatory advocacy on behalf of Global 500 and Fortune 500 companies. She has an expertise in understanding business perspectives and driving engagement strategies to advance their objectives.

Kylin joined Amazon from Citibank, where she was Director of Consumer Regulatory Policy & Affairs. In that role, Kylin was responsible for educating regulators and influencing policy on behalf of Citi Branded Cards, Citi Retail Bank, and Citi Retail Services. She also worked at Barclays on the US government relations and public policy team. Prior to that she served as professional staff on the House Financial Services Committee. In that role, she was assigned to the Subcommittee on Financial Institutions and Consumer Credit and focused on issues such as consumer financial protection, credit card practices, credit scores and reports, and data security. Kylin previously served as legislative assistant for Senator Richard Lugar (R-IN), advising him on issues including banking, finance, housing, energy, and tax. She also previously worked at the Financial Crimes Enforcement Network (FinCEN) within the Department of the Treasury.

A native of West Virginia, Kylin earned a B.A. from Bethany College. She lives in Arlington, VA with her husband Matt and her son, Graham. She is an avid golfer, classical pianist, and traveler.

Benjamin W. McDonough
Senior Deputy Comptroller, Chief Counsel
Office of the Comptroller of the Currency (OCC)

Benjamin W. McDonough is the Senior Deputy Comptroller and Chief Counsel at the Office of the Comptroller of the Currency (OCC).

In this capacity, Mr. McDonough oversees the agency's legal and licensing activities, including legal advisory services to bank supervision and policy, enforcement, litigation, agency administrative matters, legislative initiatives, the chartering of new banks, and changes in structure and activities of existing banks. He serves on the OCC's Executive Committee and provides advice and counsel to the Comptroller of the Currency and senior OCC executives. He assumed this role in June 2021.

Prior to joining the OCC, Mr. McDonough served as Associate General Counsel in the Legal Division at the Board of Governors of the Federal Reserve System. He joined the Federal Reserve Board in 2008, and during his time there, he worked on a variety of regulatory and

supervisory matters, including providing legal advice to the Large Institution Supervision Coordinating Committee Operating Committee and to the Federal Reserve's stress testing program. From August 2014 through July 2015, Mr. McDonough was a secondee to the European Central Bank's Legal Division.

Prior to joining the Federal Reserve Board, Mr. McDonough was a Senior Attorney at the Federal Deposit Insurance Corporation, where he began his career as an Honors Attorney. Mr. McDonough holds a joint juris doctor and Master of Public Policy degree from the University of Michigan Law School and Gerald R. Ford School of Public Policy, and a bachelor of arts degree also from the University of Michigan.

Peter McKillop
Founder
Climate & Capital Media

Peter McKillop founded *Climate & Capital Media* in the early months of the Covid pandemic in 2020 to provide original, actionable content and analysis about companies, entrepreneurs and investors working to accelerate business, finance, and climate policy solutions. Prior to founding *Climate & Capital,* McKillop was the global head of communications for BlackRock's iShares and indexing businesses. Prior to that, he held senior communication leadership positions at J.P. Morgan, KKR, UBS and Bank of America. Before entering finance, McKillop was a correspondent and bureau chief for Newsweek in New York, Tokyo, and Hong Kong. Mr. Mr. McKillop has been a rapporteur and participant in The Program on International Financial Systems of the 21st Century symposiums on US-Japan, US-China, and US-Europe, since 1997.

Mr. McKillop is involved with several philanthropic organizations. He is President of Hirondelle USA, which is focused on offering independent news for populations in war-torn countries and post-conflict areas. He is also a trustee at the Africa-America Institute, which facilitates educational exchanges between Africa and the United States. He is also a trustee at Eaglebrook School in Deerfield, MA.

Mr. McKillop graduated from Wesleyan University with a B.A.in African Studies and history. He lives in New York City with his wife and has two sons.

Perry Mehrling
Professor
Pardee School of Global Studies, Boston University

Perry Mehrling is Professor of International Political Economy at the Pardee School of Global Studies, Boston University, where he teaches courses on global money. His most recent book is The New Lombard Street: How the Fed became the dealer of last resort (Princeton 2011), and his forthcoming book is Money and Empire: Charles P. Kindleberger and the Dollar System (Cambridge 2022). Recent papers and video are available on his website http://sites.bu.edu/perry/ "one stop shopping for all things 'money view'".

Jakub Michalik
International and Institutional Affairs Team Leader
European Securities and Markets Authority (ESMA)

Jakub Michalik joined the European Securities and Markets Authority (ESMA) in 2014, where he has been responsible for coordination of ESMA's inter-institutional and international affairs. Mr. Michalik provides strategic counsel on ESMA's relations with Brussels-based EU Institutions and with non-EU securities regulators as well as in relation to ESMA's activities within IOSCO. From 2011 to 2014, Mr. Michalik served as a parliamentary assistant to MEP Wolf Klinz on the Committee on Economic and Monetary Affairs (ECON) of the European Parliament. Prior to joining the European Parliament, Mr. Michalik worked extensively on compliance and implementation matters, including both wholesale and retail business areas, within private sector. Mr. Michalik earned his PhD in Law from University of Vienna and his Magister in Law from University of Graz. Mr. Michalik holds the professional qualification as a solicitor and is an author and a contributor to a number of articles in the area of banking and capital markets regulation.

Martin Moloney
Secretary General
International Organization of Securities Commission (IOSCO)

Martin Moloney joined the International Organization of Securities Commissions (IOSCO) in September 2021 as Secretary General. Prior to joining IOSCO, Martin was Director General of the Jersey Financial Services Commission (JFSC) and before that he worked as a Special Adviser on Risk and Regulation to the Central Bank of Ireland (CBI), where he served for 16 years, previously heading up the Markets Policy, Markets Supervision, and Legal and Finance Divisions respectively. Prior to joining the CBI, Martin worked for brief periods in the Department of Justice and the Irish Competition Authority, and spent ten years working in the Irish Department of Finance in positions of responsibility in relation to both national and semi-State debt management and the sale of State banks. Martin spent his early career working in Industry at Barclays Bank and the Bank of Ireland in London.

Martin has an LLM in Business Law and a Masters qualification in Economic Policy, both from Trinity College Dublin. He has Postgraduate Diplomas in Arbitration, Regulatory Management and has completed professional examinations of the Chartered Institutes of Bankers and the Chartered Institute of Arbitrators.

Katanya K. Moore
Vice President and Head of Regulatory Policy Group
MetLife

Katanya Moore leads MetLife's Regulatory Policy Group, where she is responsible for coordinating the firm's policies regarding insurance standards, as well as regulations related to systemic risk and solvency requirements. Ms. Moore has almost twenty years of experience in the financial services sector.

Prior to joining MetLife, she worked for the U.S. House of Representatives, where she served as the Director for Financial Services Committee's Investor Protection, Entrepreneurship and Capital Market, subcommittee. Ms. Moore was also, previously, appointed to serve as Deputy Commissioner of Market Compliance for the D.C. Department of Insurance, Securities and Banking and served as policy counsel for FINRA. In addition to those government and regulatory positions, Ms. Moore held senior legal and compliance positions for financial firms, a trade association and was a partial owner of a financial technology firm.

Ms. Moore holds a law degree from Rutgers School of Law, and a bachelor's degree from University of Rochester.

Andrew Nash
Managing Director, Head of Regulatory Affairs
Morgan Stanley

Andrew Nash is a Managing Director the Head of Regulatory Affairs at Morgan Stanley. Prior to joining Morgan Stanley in 2012, Andrew practiced law in the Financial Institutions Group at Davis Polk. Andrew is a graduate of Washington University School of Law, Jawaharlal Nehru University and Washington & Lee University.

Richard Normington
Senior Policy Advisor International
The Investment Association

Richard Normington is the Investment Association's (IA) Senior Policy Adviser on trade and investment issues. He is a member of HM Treasury's Financial Services Expert Trade Advisory Group and works with the UK's Department for International Trade on its international investment agenda.

The IA is the body that represents the UK-based investment management industry. The IA's 250-member firms manage £9.4 trillion (US $12trillion). About 40% of this is done on behalf of international clients. The IA also has a FinTech Accelerator and over 150 FinTech affiliate firms who focus on technology and innovation for the investment management industry, alongside a growing global partners program.

Richard set up the IA's Trade & Investment Committee in 2017. This committee of senior industry executives is responsible for advising on business barriers and opportunities for the investment management industry globally.

Richard was the IA lead for the industry-wide campaign to successfully lift the U.S. SEC's GDPR-related moratorium on UK-based registered investment advisers. He worked previously at the TheCityUK as part of its international strategy team, having transferred over from International Financial Services London (IFSL) where he specialized in infrastructure investment and legal services.

His earlier career was dedicated to international policy including six years as the Secretary General of a global political association chaired by Prime Minister John Howard of Australia, and heading a UK political party's International Office and its Westminster Foundation for Democracy program.

Jonathan Ondrejko
Senior Vice President of Programs
Program on International Financial Systems (PIFS)

Jon Ondrejko is PIFS' Senior Vice President of Programs, where he helps lead international symposia, training programs for regulators, and special events that foster dialogue and promote education on issues impacting the global financial system. Mr. Ondrejko is also a Senior Research Fellow at the Committee on Capital Markets Regulation.

Before taking on his current roles, Mr. Ondrejko practiced law as a corporate associate in the Boston office of Cooley LLP. At Cooley, he primarily represented technology and life sciences companies and their investors in connection with private financings, M&A transactions, public offerings, securities regulatory compliance, and corporate governance.

Mr. Ondrejko received a B.A. in International Relations from Boston University, graduating summa cum laude with concentrations in economics and East Asian affairs. He received a J.D. from the Boston University School of Law, graduating summa cum laude and receiving the Alumni Academic Achievement Award for highest cumulative grade point average.

Véronique Ormezzano
Head of Group Prudential Affairs
BNP Paribas

In this role, she is responsible for the definition of BNP Paribas groupwide responses to new prudential regulations, in close liaison with regulatory experts in the various business lines and functions. She is also in charge of orchestrating the group' relationships with regulatory authorities, industry associations and relevant peers, leveraging the various teams within Group Public Affairs, as well as business lines, functions and territories, and in close liaison with top management.
She has been appointed Chair of AFME Prudential Regulatory Board in 2019, she is a member of EBF Banking Supervision Committee and is actively participating to various industry groups and conferences. Since June 2017, she also serves as a member of the Board of Directors of BNP Paribas UK Ltd.

She was previously, since 2009, Global Head of Official Institutions Coverage at BNP Paribas. In this role, she created and led a team of Senior Bankers dedicated to Sovereigns, Central Banks, Sovereign Wealth Funds, Supranationals, delivering the whole range of financial services across geographies and business lines: Primary and Secondary Debt Capital Markets, Hedging instruments, Reserve Management, Implementation of monetary policy, Asset

Allocation, Alternative Investments in Real Estate and Infrastructure, Securities Services, Corporate Finance and Advisory.

She joined Paribas in 1994 after several years as a management consultant in the Finance Division of Andersen Consulting (now Accenture), and held various responsibilities in the bank, as Head of Economic Capital and RAROC project in the Risk Department (1994-1998), as Head of Loan Portfolio Management in Corporate and Investment Banking (1998-2006), and as Head of Financial Information and Investor Relation in the Group's Finance Division (2006-2009).

Veronique is 57, married with 2 grown-up sons, and graduated at the "Hautes Etudes Commerciales" business school (HEC) in 1982.

Joji Ozawa
Special Counsel
Milbank

Joji Ozawa is a special counsel in the London office of Milbank in the European Leveraged Finance/Capital Markets group. He is an experienced U.S. securities lawyer and high yield specialist and has practiced in London, Singapore, Tokyo and New York. He spent half a year on secondment to the IBD Legal department of Goldman Sachs International in 2018. Clients say that "Joji Ozawa is thoughtful, knowledgeable, diligent and always available." (*Legal 500 UK 2021*)

Suyash G. Paliwal
Director of International Affairs
Commodity Futures Trading Commission (CFTC)

Suyash G. Paliwal is Director of the Office of International Affairs (OIA) at the Commodity Futures Trading Commission. In his role, Mr. Paliwal advises the CFTC Chairman on cross-border issues and leads the CFTC's international regulatory initiatives. OIA represents the Commission in international fora such as the International Organization of Securities Commissions (IOSCO), the Committee on Payments and Market Infrastructures (CPMI), and the Financial Action Task Force (FATF), and advises the Chairman on matters relating to the Financial Stability Board (FSB) and IOSCO's Financial Stability Engagement Group (FSEG). Mr. Paliwal's office also coordinates the agency's efforts related to policies and initiatives of foreign jurisdictions, supervisory cooperation arrangements, and technical assistance to regulatory authorities globally.

Mr. Paliwal's experience encompasses handling international regulatory policy matters in both the public and private sectors. Prior to joining the CFTC, he served at the Federal Reserve Board, where he advised on FSB matters, represented the central bank in the International Association of Insurance Supervisors and bilateral forums, developed international and domestic policy for the supervision of systemically important financial institutions and certain financial conglomerates (insurance savings and loan holding companies), and oversaw the supervision of large financial institutions. He led a team of supervisors in Europe and Asia to

develop international standards on governance and risk management, advised Federal Reserve Board leadership on strategic international engagement, and was lead author of a proposed capital rule.

Prior to his government service, Mr. Paliwal practiced at White & Case and Allen & Overy, where he advised clients on complex international legal issues and derivatives regulations. His earlier experience was in the financial services industry at Ernst & Young and Verisk Analytics, Inc.

Michael Pedroni
Chief Global Affairs Officer, Head of Global
Investment Company Institute (ICI)

Michael Pedroni is the Investment Company Institute's Head of ICI Global and Chief Global Affairs Officer. Michael is responsible for ICI's international regulatory and policy work to support regulated investment funds and their investors around the world.

Michael has extensive public and private sector experience in international engagement and financial policy, having served at the U.S. Treasury, White House, Federal Reserve and International Monetary Fund. Most recently, he was executive vice president and managing director for global markets and research at the Managed Funds Association, where he led international activities and raised the association's profile in Europe, while also directing the development of global research. Prior to that, as a member of the Treasury Department's Senior Executive Service, he served under four U.S. Treasury Secretaries, beginning in 2008 as the first Director of the re-established Markets Room as the financial crisis was unfolding. Michael was subsequently appointed as the U.S. financial attaché to the European Union and head of Treasury's office in Europe for three years beginning in 2013. In 2016, he began a White House detail, acting as senior director for global economics and finance under the Obama Administration, and rejoined Treasury in late 2016 as senior executive director for international economic analysis. Pedroni started his career at the Federal Reserve Bank of New York, and later worked as an economist at the International Monetary Fund and at ICAP, an inter-dealer-broker.

Michael is a graduate of Yale University and received a master's degree in International Affairs from Columbia University. In addition, he was a Fulbright Scholar in Germany and is conversational in German, Italian, and basic French. Michael also is an adjunct professor in the department of economics at George Washington University, where he teaches a course on managing international economic crises.

Althea Pieters
Head of US Regulatory Policy
Credit Suisse

Althea Pieters is currently the Head of U.S. Regulatory Policy at Credit Suisse, where she plays an integral role in shaping the bank's response and advocacy strategy to various proposed regulatory rulemakings.

Althea previously served as a Lead Financial Institution Policy Analyst at the Board of Governors of the Federal Reserve System, where she helped shape U.S. banking regulations. She participated in examinations such as the Federal Reserve's annual Capital Comprehensive Analysis and Review (CCAR) and Horizontal Liquidity Reviews (HLR). Althea also served as a U.S. foreign banking expert to the Single Supervisory Mechanism, European Central Bank and assisted in developing banking supervisory policies applicable to eurozone banks in response to Brexit. Althea was a commissioned financial institution examiner with the Federal Deposit Insurance Corporation and a bank examiner with the New York State Division of Financial Services. In these roles she led onsite supervisory examinations of U.S. domestic banks and the U.S. operations of foreign banks.

Althea has graduate degrees from Harvard University (Kennedy School) and the University of Leeds, United Kingdom.

Bridget Polichene
Chief Executive Officer
Institute of International Bankers (IIB)

Briget Polichene is the Chief Executive Officer of the Institute of International Bankers. The IIB helps to resolve the many unique legislative, regulatory, tax, and compliance issues faced by the internationally headquartered financial institutions that engage in banking and securities activities in the United States. Collectively, the U.S. operations of internationally headquartered financial institutions contribute significantly to the U.S. economy and to the depth, liquidity, and vitality of U.S. financial markets.

Prior to joining the IIB in 2018, Briget was Vice President and Head of Federal Government Relations at MetLife, where she developed and executed MetLife's federal advocacy strategy. Briget was previously at Promontory Financial Group, advising clients on all aspects of regulatory compliance and risk management. She joined Promontory from Citigroup, where she was a Managing Director and General Counsel of State and Local Government Relations. Before that, Briget served as General Counsel to the House Banking Committee (now the House Financial Services Committee) and as the General Counsel of the Indiana Department of Insurance.

Briget received a B.A. in economics from Johns Hopkins University and a J.D. with honors from Duke University School of Law.

Andres Portilla
Managing Director, Head of Regulatory Affairs
Institute of International Finance (IIF)

Andrés Portilla is the Managing Director and Head of the Regulatory Affairs Department at the IIF. He is in charge of leading the analytical and advocacy activities of the Regulatory Affairs department on global financial regulatory issues including topics related to prudential standards (both on capital and liquidity) for banks and insurance companies, systemic risk, resolution,

financial infrastructure, international accounting, securities and issues around emerging markets regulatory practice. The Department also spearheads the dialogue and interaction with international regulators and policy makers in the above areas, including the FSB, BCBS, IOSCO, IAIS, IASB and leading regional and national authorities.

Mr. Portilla has over 28 years of experience on financial regulatory issues. In his native country, Colombia, he occupied positions in the regulatory affairs departments at the National Banking Association as well as commercial financial institutions. He also practiced law in the area of banking and financial markets.

Mr. Portilla holds a JD and a Specialized Degree on Banking Law from University of Rosario in Colombia, a Masters in Comparative Law LL.M from Pennsylvania State University in the US and a MBA from Bocconi University in Italy.

David Reed
Senior Partner, Global Head of Financial Services
Kreab

David has worked in Brussels for 20 years, first with the European Commission at DG FISMA and then in consultancy with KREAB, where he leads the Global Financial Services Practice. He works primarily with financial services, fintech and technology companies on their government affairs strategies and external engagement. He founded the Financial Policy Advisers Network in 2014 operating in 12 countries. David is also the Chair of the Business, Trade and Investment Committee at the British Chamber of Commerce EU and Belgium. Previously David worked in London in the Chairman's office of the UK FCA as a member of the international strategy team, at McKinsey & Company in their financial services practice, and in private equity at Campbell Lutyens.

Elizabeth Rosenberg
Assistant Secretary, Terrorist Financing and Financial Crimes
U.S. Department of the Treasury

Elizabeth Rosenberg is the Assistant Secretary for Terrorist Financing and Financial Crimes at the U.S. Department of the Treasury. She is responsible for leading and coordinating anti-money laundering policy, counter-terrorist financing and -proliferation financing efforts, anti-corruption initiatives, and the use of targeted financial measures to advance U.S. national security. Prior to her confirmation by the U.S. Senate in December 2021, she served as Counselor to the Deputy Secretary, guiding the national security work of the Department of the Treasury, including various sanctions and anti-corruption initiatives, and advising its senior leadership.

Ms. Rosenberg brings years of leadership and experience in the field of national security and economic statecraft to the Department of the Treasury. From September 2013 until January 2021 Ms. Rosenberg directed the Economics Program at the Center for a New American Security (CNAS). In that capacity, she published and spoke on the national security and foreign policy implications of the use of sanctions and economic statecraft, as well as on energy market

shifts. While at CNAS, she testified before Congress on an array of banking and trade issues, energy geopolitics, and markets topics and was widely quoted by leading media outlets in the United States and abroad.

From April 2009 through September 2013, Ms. Rosenberg served as a Senior Advisor to the Assistant Secretary for Terrorist Financing and Financial Crimes and then to the Under Secretary for Terrorism and Financial Intelligence. In these roles, she helped develop and implement financial and energy sanctions and oversaw key initiatives, including the tightening of global sanctions on Iran, the launching of new comprehensive sanctions against Libya and Syria, and the modification of Burma sanctions in step with the then-normalization of diplomatic relations.

Earlier in her career Ms. Rosenberg was an energy policy correspondent at Argus Media in Washington D.C., analyzing U.S. and Middle Eastern energy policy, regulation, and trading. She spoke and published extensively on OPEC, strategic reserves, energy sanctions and national security policy, oil and natural gas investment and production, and renewable fuels. Ms. Rosenberg received an MA in Near Eastern Studies from New York University and a BA in Politics and Religion from Oberlin College.

Verena Ross
Chair
European Securities and Markets Authority (ESMA)

Verena Ross is the Chair of the European Securities and Markets Authority (ESMA). She is the first Executive Director of the organization and forms part of the senior management team along with the Chair of ESMA. Prior to this, Verena held several senior posts in the UK's Financial Services Authority (FSA), with her final position being Director of the International Division from October 2009 to May 2011. Verena was also a member of the FSA's Executive Committee and the Executive Policy and Risk Committees. Verena joined the FSA in 1998 to run the Executive Chairman’s office during the regulator’s start-up phase and was briefly a seconded advisor to the Hong Kong Securities and Futures Commission in 2000. She subsequently held various positions in the Markets Division and was Director of the Strategy & Risk Division before becoming Director of the International Division. She began her career at the Bank of England in 1994, where she worked as an economist and then banking supervisor, following studies in Hamburg, Taipei and London (SOAS).

Shanaya Ruhela
Ph.D. Candidate
Tilburg University

Shanya Ruhela is a commercial lawyer who is currently pursuing her doctoral studies from Tilburg University’s centre for law and economics (TILEC) in the Netherlands.

Her research focuses on the transnational private regulation and financial standardization, conducted within the framework of Project REVEAL, a research project funded by the European

Research Council funded and led by Prof. Panos Delimatsis. She is examining lobbying and advocacy by trade associations in the banking regulatory framework promulgated by the Basel Committee on Banking Supervision. She is also responsible for teaching and supervising undergraduate law students at Tilburg Law School.

Shanya holds an LL.M. degree from the University of Cambridge. She is a recipient of K.C. Mahindra Trust Scholarship, 2016 and J. N. Tata Scholarship in 2016 and 2018. She is a cinema lover and is interested in the analysis and deconstruction of tropes in media.

Virginie Saade
Head of Government and Regulatory Policy EMEA
Citadel

Virginie Saade is Head of Government and Regulatory Policy for Citadel in EMEA. She is responsible for engaging with policymakers and regulators across EM EA on legislative and regulatory initiatives that arc significant to the financial services industry.

Prior to joining Citadel, Ms. Saade was Head of European Regulatory Affairs and Strategy for KCG Europe (now part of Virtu Financial). She originally joined Getco Execution Services as Head of Sales before taking on the management of Getco Execution Services. Prior to that, Ms. Saade held a number of senior roles at NYSE Euronext, including Head of NYSE Arca Europe.

Ms. Saade is a member of the ESMA Securities and Markets Stakeholders Group.

Ms. Saade received a bachelor's degree in Politics and a Master's

Degree in Media and Communication Management from Toulouse University in France. She also received an MHA from the Montpellier Graduate Institute of Business in France.

Paolo Saguato
Assistant Professor of Law
George Mason University

Paolo Saguato is Assistant Professor of Law at Antonin Scalia Law School, George Mason University, specializing in financial regulation. His research focuses on the intersection of banking, securities and derivatives regulation, and the international and comparative dynamics of financial markets. Professor Saguato currently serves on the ESMA Central Counterparties Policy Committee Consultative Working Group. Professor Saguato has published several book chapters and articles in law reviews and peer-review journals and he is co-editor of the book “Financial Markets Infrastructures: Law and Regulation” recently published by Oxford University Press.

Before joining Scalia Law, Professor Saguato was a Research Fellow at the Georgetown Law Center (Institute of International Economic Law), a Fellow at the London School of Economics and Political Science, and a Global Hauser Fellow at New York University School of Law, where he was affiliated with the Center for Financial Institutions. Professor Saguato earned his law degrees *summa cum laude* at the University of Genoa (Italy) and a PhD in Private, Business,

and International Law at the same university. In addition, he holds a LLM from Yale Law School, which he attended as a Fulbright Scholar, where he was a senior editor of the *Yale Journal on Regulation.*

Professor Saguato is an affiliated scholar at the C. Boyden Gray Center for the Study of the Administrative State, the Research Network for Sustainable Finance (University of Zurich), and the Jean Monnet Centre of Excellence on Sustainable Finance and Law (University of Genoa), and an academic member of the European Corporate Governance Institute.

Stephen Sandford
Managing Director, Strategic Planning and External Liaison
Government Accountability Office

Stephen Sanford is Managing Director for Strategic Planning and External Liaison at the Government Accountability Office (GAO) in Washington, DC. In this role, he helps GAO plan for the future, build external relations with domestic and international partners, and drive agency-wide innovation and transformation. He also oversees the activities of the GAO Center for Audit Excellence and the GAO Center for Strategic Foresight. GAO is an independent, non-partisan agency that provides Congress and federal agencies with objective, fact-based information to help the U.S. federal government save money and work more efficiently and effectively. Since joining GAO in 2009, he has led the development of three agency strategic plans for serving the United States Congress, supported GAO's bilateral and multilateral international relations with national governments and international organizations, and led GAO's first technology assessment on artificial intelligence. In 2021, he partnered with GAO's Chief Data Scientist to produce the first-ever accountability framework for artificial intelligence in the U.S. federal government.

Prior to GAO, he advised executives on technology and business process improvement at multinational firms in London, Paris, and Brussels. He was also responsible for science and technology policy issues at the U.S. Embassy in Moscow. He has a bachelor's degree in English from the University of Pennsylvania and a master's degree in business administration with distinction from INSEAD in France.

Kurt Schacht
Executive Director, Systematic Risk Council
Chartered Financial Analyst Institute (CFA)

Kurt is the Executive Director of the CFA Institute Systemic Risk Council. The Council is a private sector, non-partisan body of former government officials, financial experts, academics and legal experts committed to addressing regulatory and structural issues relating to global systemic risk, with a particular focus on the United States and Europe.

During his tenure he has overseen CFA Institute's global policy research, standards, and government relations in New York, London, Brussels, and Hong Kong. He managed the activities of the Global Investment Performance Standards (GIPS®), the CFA Institute Asset Manager Code and the Financial Analysts Journal.

Prior to joining CFA Institute, he served as Chief Operating Officer for a mutual fund complex, as General Counsel and Chief Compliance Officer for a Manhattan based hedge fund, and as Deputy Executive Director/Chief Legal Officer for the State of Wisconsin Investment Board (SWIB).

Kurt served as Chairman of the Investor Advisory Committee for the U.S. Securities and Exchange Commission (SEC) and a member of the Public Company Accounting Oversight Board (PCAOB) Standing Advisory Group. He served two terms as a Trustee on the IFRS Foundation which oversees the International Accounting Standards Board (IASB) and on the European Commission's Expert Group on Corporate Bond Market Liquidity based in Brussels. He served on the Expert Group for Principles for Responsible Investment of the United Nations Environment Programme (UNEP).

He holds a Bachelor of Science degree in Chemistry and a Law degree from the University of Wisconsin-Madison. He completed the Chartered Financial Analyst (CFA®) designation in 1998.

Hal S. Scott
Emeritus Professor; Harvard Law School
President, Program on International Financial Systems (PIFS)

Hal S. Scott is the Emeritus Nomura Professor of International Financial Systems at Harvard Law School (HLS), where he taught from 1975-2018. His HLS courses were on Capital Markets Regulation, International Finance, the Payment System and Securities Regulation. He is currently an adjunct Professor of Public Policy at the Harvard Kennedy School of Government where he teaches Capital Market Regulation and a guest lecturer at Tsinghua University.

He has a B.A. from Princeton University (Woodrow Wilson School, 1965), an M.A. from Stanford University in Political Science (1967), and a J.D. from the University of Chicago Law School (1972). In 1974-1975, before joining Harvard, he clerked for Justice Byron White.

He is the Director of the Program on International Financial Systems (PIFS), founded in 1986, as part of Harvard Law School, which became independent in 2018. Besides doing research, the Program organizes the annual invitation-only U.S.-China, U.S.-Europe, and U.S.-Japan Symposia on Building the Financial System of the 21st Century, and special event roundtables. HLS is the non-financial sponsor or these events. In addition, PIFS partners with Executive Education at HLS in offering executive education for financial regulators.
Professor Scott's books include the law school textbook International Finance: Transactions, Policy and Regulation (23rd ed. Foundation Press 2020); Connectedness and Contagion (M.I.T. Press 2016) and The Global Financial Crisis (Foundation Press 2009). He is the author of numerous journal articles and oped pieces in leading newspapers.
Professor Scott is also the President and Director of the Committee on Capital Markets Regulation, a bi-partisan non-profit organization organized in 2006, dedicated to enhancing the competitiveness of U.S. capital markets and ensuring the stability of the U.S. financial system via research and advocacy.

He is also an independent director of MEMX, the Members Exchange and a member of the Market Monitoring Group of the Institute of International Finance. He is a past independent

director of Lazard, Ltd. (2006-2016), a past President of the International Academy of Consumer and Commercial Law and a past Governor of the American Stock Exchange (2002-2005).

George Selgin
Director Emeritus, Center for Monetary and Financial Alternatives
The Cato Institute

George Selgin is a senior fellow and director emeritus of the Center for Monetary and Financial Alternatives at the Cato Institute and Professor Emeritus of Economics at the University of Georgia. He is the author of numerous academic journal articles and books, including *The Theory of Free Banking* (Rowman & Littlefield, 1988*), Good Money: Birmingham Button Makers, the Royal Mint, and the Beginnings of Modern Coinage* (University of Michigan Press, 2008), *Money: Free and Unfree* (The Cato Institute, 2015), and *The Menace of Fiscal QE* (The Cato Institute, 2019). He is presently completing a book on the New Deal's influence on recovery from the Great Depression. Selgin holds a B.A. in economics and zoology from Drew University, and a Ph.D. in economics from New York University

Nathan Sheets
Global Chief Economist
Citi

Nathan Sheets joined Citigroup as Global Head of International Economics in September 2011. In that role, he helps lead the firm's team of economists around the world. His own research focuses on global themes, with a particular emphasis on the position of the United States in the world economy. Previously, Mr. Sheets worked at the Federal Reserve Board for 18 years in a variety of positions. From September 2007 to August 2011, he served as Director of the Board's Division of International Finance and one of three Economists to the Federal Open Market Committee. He advised the Committee on macroeconomic and financial developments in foreign economies, as well as on the outlook for U.S. trade, the dollar, and global commodity prices. He also played a key role in developing the Fed's swap line program with other central banks. From 2006-07, while on leave from the Board, he served as a Senior Advisor to the U.S. Executive Director at the International Monetary Fund. Mr. Sheets received his B.A. from Brigham Young University in 1989 and his Ph.D. in economics from the Massachusetts Institute of Technology in 1993. He has published research in an array of academic journals. Mr. Sheets is a member of the Council on Foreign Relations.

Jeff Siegel
Managing Director, Head of U.S Public Policy
BNP Paribas

Jeff Siegel is a Managing Director and Head of the U.S. Public and Regulatory Policy team at BNP Paribas in New York. In that role, Jeff leads efforts to develop with senior management and the business, the strategic positions of BNP Paribas regarding U.S. regulatory and policy developments.

Before joining BNP Paribas, Jeff served for more than three years at the U.S. Treasury Department as the Senior Policy Analyst and Lead Negotiator for Financial Services in the Office of International Trade. In that role, Jeff represented the United States as the lead negotiator for the financial services chapters of U.S. trade agreements.

Prior to joining Treasury, Jeff served for four years as Senior Counsel at the U.S. Senate Committee on Banking, Housing, and Urban Affairs where he advised Chairman Tim Johnson of South Dakota about, and coordinated committee legislative oversight strategy related to, the implementation of the Dodd-Frank Act – with a particular focus on the derivatives regime, the Volcker Rule, and other wholesale banking activities. Before working at the Banking Committee staff, he served for two years as Legislative Director and Counsel for Congressman Michael McMahon of New York where he helped draft key provisions of the derivatives title of Dodd-Frank. And before joining the Congressman's office, Jeff worked for more than five years as a litigation associate at Willkie Farr & Gallagher LLP in New York.

Jeff holds a JD from Cornell Law School, and a BA in Public Policy and International Affairs from Princeton University.

Joshua Sterling
Partner
Jones Day

Josh Sterling has 20 years of experience in the derivatives and securities markets, both as lead counsel to major companies and as a senior federal financial regulator. Josh represents clients that are active in the derivatives markets with matters before the U.S. Commodity Futures Trading Commission (CFTC), the U.S. Securities and Exchange Commission (SEC), and various self-regulatory organizations, including the National Futures Association (NFA) and the Chicago Mercantile Exchange (CME).

Josh has particular experience assisting clients with examinations and investigations relating to their trading practices and compliance with related registration, reporting, and other rule requirements. He helps financial services, fintech, energy, and other companies with CFTC registration, regulatory advice, and advocacy in support of their business objectives. Josh has also represented major trade associations in industry reform efforts with CFTC and NFA, and he is experienced in assisting asset managers in the design, launch, and operation of commodity pools and other alternative trading vehicles.
Prior to joining Jones Day, Josh was director of CFTC's Market Participants Division, which regulates the 3,300-plus banks, intermediaries, and asset managers registered with the agency to trade derivatives in the U.S. markets. Josh was responsible for CFTC's examination, rulemaking, and enforcement referral programs governing those firms, and he participated in the charging and settlement of several precedent-setting matters during his tenure. In addition, Josh helped drive CFTC's innovation initiative in the crypto asset space. He also completed a significant rulemaking agenda, concluding all open matters for his Division under the Dodd-Frank Act. For his record-setting accomplishments at CFTC, Josh received the Chairman's Award for Excellence in Management.

Jay Surti
Deputy Chief, Financial Supervision and Regulation Division
International Monetary Fund (IMF)

Jay Surti is Deputy Chief in the IMF's Financial Supervision and Regulation Division, holding responsibility for the work program on the regulation and supervision of nonbank financial intermediation and financial markets. He represents the IMF at the Risk and Vulnerabilities Group of the Basel Committee on Banking Supervision and the Financial Stability Board's Working Group on Dealer Behavior. At the IMF, Mr. Surti has previously served as Deputy Chief in the Global Financial Stability Analysis Division, holding responsibility for the analytical chapters of the Global Financial Stability Report; as Head of the Office of the Financial Counsellor; and as economist in the European and African Departments. He has co-led Financial Sector Assessment Programs in Tanzania, Turkey and Canada, and capacity building projects in banking supervision and foreign exchange reserves management in several countries. During 2018-20, Mr. Surti served as Special Advisor to the Governor, Reserve Bank of India, advising the RBI's executive management in the areas of macro-financial and early warning surveillance and financial supervision. Prior to joining the IMF, Mr. Surti was a lecturer in Economics at Boston University and a Summer Fellow at the Institute for International Development at Harvard University. Mr. Surti has a Ph.D. in Economics from Boston University.

Matthew Swinehart
Acting Deputy Assistant Secretary, Office of International Financial Markets
U.S. Treasury

Matt Swinehart is the Acting Deputy Assistant Secretary for International Financial Markets (IFM) and Director of the Office of IFM at the U.S. Treasury. The office leads Treasury's international engagement on financial regulation and stability, including in the G7, G20, and the Financial Stability Board, and through bilateral and regional dialogues. Matt previously was Treasury's Lead Financial Services Negotiator for trade agreements and Senior Counsel for International Trade and Financial Regulatory Policy. Before Treasury, he was an international arbitration, litigation, and regulatory associate at Covington & Burling in DC, a financial institutions and litigation associate at Weil, Gotshal & Manges in New York, and a law clerk to the Honorable Patrick E. Higginbotham of the U.S. Court of Appeals for the Fifth Circuit. Before practicing law, Matt was an environmental engineer. He received a B.S. in Civil Engineering and a B.A. in Environmental Engineering from Rice University, and a J.D. from the University of Texas School of Law.

Chip Thresher
Senior Manager, Government Relations and Regulatory Strategy
London Stock Exchange

Chip Thresher is Senior Manager, Government Relations and Regulatory Strategy at LSEG (London Stock Exchange Group). Chip joined Thomson Reuters in 2014 covering the Financial Data & Risk business which subsequently became Refinitiv. Following LSEG's acquisition of Refinitiv in 2021, Chip joined the LSEG team in the Americas, focusing on issues impacting the Data & Analytics division of LSEG as well as key group-wide issues including sustainable

finance, financial crime, and privacy. Prior to joining the company, Chip held various government affairs and regulatory positions in the private and public sector spanning industries including pharmaceuticals, telecommunications, energy, and finance. Chip holds a bachelor's degree in economics from the University of Colorado, an MBA from Pepperdine University, and a J.D. from George Mason University School of Law.

Patrick Toomey
U.S. Senator (R-PA)

Senator Pat Toomey joined the U.S. Senate from Pennsylvania in 2011 on a platform of economic and job growth, restoring fiscal responsibility, and creating stronger, safer communities.

Sought out by his colleagues for his views on financial reform and budgetary issues, Senator Toomey was labeled by the Philadelphia Inquirer as "a leading voice on money matters." During his time in the Senate, Senator Toomey has successfully led efforts to cut taxes for families, make our business tax code more competitive, end wasteful federal spending, and protect children from abusers.

The senator serves on the Senate's Banking, Budget, and Finance committees.
Senator Toomey previously served in the U.S. House of Representatives for six years. Honoring his pledge to limit himself to three terms, he did not seek re-election to the House in 2004.
In addition to his public service, the senator has also worked in the financial services industry, served as president of the Club for Growth, and owned and operated a small restaurant chain in the Lehigh Valley with his brothers.

A graduate of Harvard University, he lives in the Lehigh Valley with his wife, Kris, and their three children.

Emiliano Tornese
Deputy Head, Financial Markets Infrastructure
European Commission

Emiliano Tornese is Deputy Head of the Financial Markets Infrastructure Unit in the European Commission's DG for Financial Stability, Financial Services and Capital Markets Union, and is Part-time Professor at the Florence School of Banking and Finance, based at the European University Institute, and at the College of Europe (Bruges). Previously, he was responsible for the negotiations on the creation of the Banking Union, as Deputy Head of the Resolution and Crisis Management Unit, and the establishment of the Single Resolution Board. He also worked for the European Commission's Securities Markets Unit and, during his tenure, was responsible for the establishment of the European Securities and Markets Authority and for matters related to its Corporate Finance Standing Committee. As Secretary of the European Securities Committee, he coordinated the European Union's Ministries of Finance in their regulatory capacity for financial services. He drafted and negotiated the review of the primary and secondary markets regulation and the regulation of benchmarks.

Panos Tsezos
Legal Assistant
Milbank

Panos Tsezos is a legal assistant in Milbank's London-based European Leveraged Finance and Capital Markets Group.
Panos' primary focus is on capital markets transactions, having advised both issuers and banks in connection with Rule 144A and Regulation S high yield bond transactions, Prospectus Regulation equity offerings and private placements.

Mark E. Van Der Weide
General Counsel
Federal Reserve

Mark E. Van Der Weide has been the General Counsel of the Board of Governors of the Federal Reserve System in Washington, D.C., since 2017.

From 2010 until 2017, Mr. Van Der Weide worked in the Division of Supervision and Regulation of the Federal Reserve Board. In this capacity, he advised the Division Director and Board members on financial regulatory policy issues and helped coordinate the development of Federal Reserve positions on international and domestic regulatory policy. Mr. Van Der Weide served as a member of the Basel Committee on Banking Supervision from 2015-17 and represented the Federal Reserve on the Financial Stability Board's Standing Committee on Supervisory and Regulatory Cooperation from 2010-17.

Mr. Van Der Weide was detailed to the U.S. Treasury Department during 2009-2010, where he provided assistance to the Administration in its efforts to design the financial reform legislation that ultimately became the Dodd-Frank Act.

From 1998 until 2009, Mr. Van Der Weide worked in the Legal Division of the Federal Reserve Board. His primary responsibilities included drafting rules and interpretive guidance on prudential bank regulations and reviewing bank mergers and acquisitions proposals. Mr. Van Der Weide also participated in the Federal Reserve's efforts to help ensure financial stability during the financial crisis of 2007-09.

Prior to joining the Federal Reserve Board in 1998, Mr. Van Der Weide worked as an associate in the Washington, D.C., office of Cleary, Gottlieb, Steen & Hamilton.

Mr. Van Der Weide received a J.D. degree from Yale Law School in 1995 and a B.A. degree in history and philosophy from the University of Iowa in 1992.

John D. Van Etten
Executive Director, Federal Government Relations
JP Morgan Chase & Co.

John Van Etten is Executive Director for Federal Government Relations at JP Morgan Chase & Co., and is based in Washington, D.C. He represents the company on a broad range of issues associated with examination and supervision, prudential regulation, recovery/resolution, the economic role of banks, and too-big-to-fail.

Immediately prior to this position, Van Etten served in a similar role for HSBC. His previous experience also includes working for The Clearing House (now Bank Policy Institute), the U.S. House of Representatives, and practicing banking law with a large U.S. law firm. Van Etten has significant experience developing and implementing responses to a wide range of policy issues for financial institutions on the international, federal and state levels, including international standards, domestic statutory changes, new regulations and legal disputes.

Van Etten is a graduate of University of California, San Diego, and the Georgetown University Law Center.

Megan Vasios
Deputy Director
Program on International Financial Systems (PIFS)

Megan Vasios is Deputy Director for the Program, a position she also holds with the Committee on Capital Markets Regulation (CCMR). She joined the team in January 2020 from Prudential Financial, where she was Director, Corporate Counsel in PGIM Global Partners & Institutional Relations Law. Megan has also held positions as CCMR's Deputy Director of Research and as a corporate associate at Simpson Thacher & Bartlett LLP, where she advised on mergers & acquisitions, securities offerings, and credit transactions. She received a BA from Yale College, graduating cum laude and with distinction in the Psychology major, and a JD from Harvard Law School, where she was a Supervising Editor of the Harvard Journal on Legislation.

Swami Venkataraman
Associate Managing Director
Moody's

Swami Venkataraman, CFA, is an Associate Managing Director in Moody's Environmental, Social and Governance group. He manages a team of analysts responsible for the development of ESG analytic tools, assessments and the integration of ESG considerations into Moody's credit ratings and research. He is the primary spokesperson for Moody's Investors Service on ESG and sustainable finance with regulators and investors. Before moving to the ESG team, Swami was a lead analyst in the North American utilities and infrastructure team, with rating committee chair responsibilities. Prior to Moody's, he was a project and export finance banker with Standard Chartered bank in Asia.

Swami has broad credit and banking expertise in the power, clean energy and infrastructure sectors over the past 20 years. He has worked in New York, San Francisco, London, Mumbai and Mexico City. Swami is a CFA charter holder, with a B.Tech in Electronics Engineering from the Indian Institute of Technology (IIT), Madras and an M.B.A. in finance from the Indian Institute of Management (IIM),Calcutta.

Jesse O. Villarreal
Executive Director, Government Relations and Public Policy
Santander

Jesse serves as Executive Director for Government Relations and Public Policy at Santander U.S. He previously served as the FDIC Chief of Staff to Chairman Sheila Bair from 2006-2011. At the U.S. Treasury, Jesse was the Senior Advisor to the Assistant Secretaries of Financial Markets and Financial Institutions and was the Director for the Office of Critical Infrastructure and Compliance Policy. He was an Associate Director for Cabinet Affairs in the Executive Office of the President under George W. Bush. He began his career at JPMorganChase.

Caroline Wellemans
Head of Sustainable Finance
European Commission

Caroline Wellemans is head of the unit responsible for sustainable finance policy within the Directorate-General for International Partnerships of the European Commission. The unit is notably responsible for developing new policies and coordinate actions with international partners to mobilise private investors towards green investments globally. Prior to her current role, Caroline was deputy head of the sustainable finance unit of the Directorate-General for Financial Stability, Financial Services and Capital Markets Union (DG FISMA) and deputy head of the corporate reporting and accounting unit of DG FISMA. She also worked as a policy officer within the Capital Markets Union unit to promote market-based finance in Europe and in particular designing legislative and non-legislative measures to revive the IPO markets for SMEs in Europe. Prior to that role, Caroline worked in the central services of the Commission to contribute to improving the Commission governance structure as well as getting better results and impact from the EU budget. She also worked within the Directorate General for Competition on the enforcement of European Competition rules by controlling aid granted by Member States to banks in the context of the financial crisis as well as monitoring economic adjustment programmes in close collaboration with the International Monetary Fund and the European Central Bank. Caroline graduated from the Solvay Brussels School of Economics and Management as a business engineer with a major in finance.

David Wertime
Senior China Policy Advisor, Office of International Financial Markets
U.S. Treasury

David Wertime Senior China Policy Advisor at the U.S. Treasury Department's Office of International Financial Markets as a Council on Foreign Relations International Affairs Fellow. Previously, David spent a decade as media executive, launching China news services at Foreign Policy magazine and Politico. David also founded a Chinese social-media analysis startup, Tea Leaf Nation, later acquired by the Washington Post Company.

David practiced securities law for several years, first at Cravath, Swaine & Moore in New York and then at Milbank, Tweed Hadley & McCloy in Hong Kong. He served as a Peace Corps

Volunteer in China. A graduate of Harvard Law School, David lives in Washington, DC with his wife Diane and rescue puppy Luna. He enjoys distance running and reading in his spare time.

Tim Wennes
Chief Executive Officer
Santander US

Tim Wennes is the Santander US Chief Executive Officer and Country Head, as well as President and Chief Executive Officer of Santander Bank, N.A. (SBNA). He is responsible for establishing and executing Santander US's long-range plans and growth strategies, and in SBNA he has direct oversight for all consumer, business, corporate and commercial banking functions. He is a member of the SHUSA and SBNA Boards of Directors.

Tim joined Santander as President and CEO in September 2019, and was named Santander US CEO in December 2019. He has 30 years of experience in banking, and most recently served as West Coast President and Head of the Regional Bank at MUFG Union Bank where he had oversight of Commercial Banking, Real Estate Industries, Consumer Banking and Wealth Management. He was also responsible for the bank's Enterprise Marketing and Corporate Social Responsibility programs.

Tim is a graduate of the University of Southern California, where he received a bachelor's degree in Business Administration. He also holds an MBA in International Business from California State University Fullerton.

Jon Whitehouse
Managing Director
Group Head of Government Relations

Jon is responsible for the Group's interactions with governmental policy makers, advocacy on behalf of Barclays and oversight of UK regional government and media relations engagement.

Jon is a member of the Board of TheCityUK, deputy chairman of the International Regulatory Strategy Group (IRSG) of the City of London Corporation, chairs the UK Finance External Affairs Committee and the TheCityUK Public Affairs Group and is a member of the Confederation of British Industry (CBI) Financial Services Panel.

Jon joined Barclays in 2009 and led Barclays' government relations activity on the Independent Commission on Banking (Vickers Commission), the 2012 LIBOR settlements and subsequent Parliamentary Commission on Banking Standards.

From 2012 to 2015, Jon was also Group Chief of Staff to Barclays' chairmen, Marcus Agius and Sir David Walker. During this period, Jon helped spearhead a number of industry initiatives including the creation of the Banking Standards Board, which now exists to report on cultural standards in the banking industry.

Prior to joining Barclays, Jon worked for the Lloyds Banking Group and before that was a parliamentary researcher. Jon read British Politics and Legislative Studies at the University of Hull, UK.

Tracey Wingate
Chief of Staff
Investment Company Institute

Tracey Wingate is the Chief of Staff at the Investment Company Institute (ICI) where she helps lead advocacy and develop policy initiatives on domestic and international matters in the asset management industry. Additionally, Ms. Wingate serves as the President of the ICI's Education Foundation where she leads ICI's initiatives to increase financial literacy and education focusing on individuals from underrepresented communities.

Prior to this role, Ms. Wingate was an associate director in the Office of International Affairs (OIA) at the U.S. Commodity Futures Trading Commission (CFTC) where she played a leading role in the development and implementation of the CFTC's cross-border policies. During her time in OIA, she successfully negotiated the CFTC-EU equivalence decision which allowed U.S. central counterparties (CCPs) to operate in the EU under the European CCP regulatory framework. She routinely represented the CFTC in various multilateral fora including at IOSCO and led the CFTC delegation in various international workstreams.
During her fifteen-year tenure at the CFTC, Ms. Wingate held various senior positions in the divisions of enforcement and clearing and risk prior to joining the Office of International affairs. Ms. Wingate began her career in the Washington, DC offices of Arnold & Porter, LLP focusing on product liability litigation.

Ms. Wingate received her Juris Doctor degree from Tulane University and her Bachelor of Arts from University of Virginia.

David Wright
Chairman, Former Secretary General
EUROFI, International Organization of Securities Commissions

David Wright is chairman of EUROFI as well as partner of Flint-Global in London and a public interest director of the Bombay Stock Exchange. He has worked for nearly 34 years in the European Commission, from 1977 to 2011. From March 2000 to October 2010 he was first director, then deputy director-general, for securities and financial markets and then all financial services policy in the Directorate-General (DG) Internal Market and Services. He helped design and drive forward the Financial Services Action Plans to integrate the EU's capital and financial services markets. He was the rapporteur for both the Lamfalussy (2000/1) and De LaRosière Committees (2008/9), chaired the Securities and Banking Committees and represented the Commission in the Financial Services Committee and in various Financial Stability Board (FSB)/G20 fora. David's appointment as secretary general of the International Organization of Securities Commissions (IOSCO) commenced on March 15, 2012 and ended in 2016. He is a Fellow of Salzburg Global Seminar.

Sharon Yang
Global Head of Regulatory Affairs
JP Morgan Chase

Sharon leads a global team responsible for developing and implementing a comprehensive financial regulatory strategy on behalf of the firm, including understanding, and providing thought leadership on how policies affect the firm, our clients and economic growth overall; engaging in balanced advocacy with domestic and international financial regulators, policy makers and standard setters; and governing internal coordination among regions and lines of business on priority regulatory issues.

Sharon brings over two decades of public and private sector financial services experience across strategic, policy and regulatory initiatives. Prior to joining JPMorgan Chase, she was the Deputy Assistant Secretary for International Financial Markets at the U.S. Department of the Treasury, leading a team of international economists and financial analysts responsible for addressing a wide range of international financial policy issues, including advocating U.S. interests multilaterally on the Financial Stability Board, at the G7 and G20, bilaterally with EU and Asian counterparts, as well as monitoring financial stability risks in key markets. Sharon represented Treasury on several domestic and international committees and co-chaired the G20 Sustainability Finance Working Group and the G7 Digital Payments Experts Group. She is the recipient of the Secretary's Distinguished Service Award.
Sharon started her career as a management consultant and lawyer in private practice. She is a graduate of the Georgetown University Law Center and the Huntsman Program in International Studies and Business at the University of Pennsylvania, where she is a member of the Alumni Council.

Hark Yoo
Analyst
US Congressional Budget Office

Byoung 'Hark' Yoo is an Analyst/Economist in Financial Analysis Division at US Congressional Budget Office. Hark is currently researching on credit risk transfer programs of Fannie Mae and Freddie Mac and working on the budgetary impact of alternative economic scenarios. Hark specializes in econometrics applied to macroeconomic and financial issues, and has various experience in academia, global banks, and public institutions.

Program on International Financial Systems (PIFS)
134 Mount Auburn Street, Cambridge, MA 02138
www.pifsinternational.org