The Program on International Financial Systems (PIFS),
the Securities and Exchange Commission of Brazil (CVM), and
National School of Public Administration of Brazil (ENAP)

Policy Seminar Series on Sustainable Finance
Program Agenda

May-June 2023
Via Zoom

MONDAY, MAY 29TH, 2023

9:00am (EDT)[1] *10:00am (BST)*	**Opening Remarks** • Jonathan Ondrejko (Senior Vice President of Programs at PIFS)
9:00am – 10:00am (EDT) *10:00am – 11:00am (BST)*	**Joint Lecture: International Implementation Challenges in Sustainable Finance** • Yasser Mounsif (Head of Corporate Finance and Disclosure Department at the Autorité Marocaine du Marché des Capitaux of Morocco) • Jo-Anne Matear (Special Advisor to the Executive on Sustainable Finance and Emerging Regulatory Issues at the Ontario Securities Commission)
10:00am – 11:00am (EDT) *11:00am – 12:00pm (BST)*	**Panel Discussion: Sustainability-related Information Ecosystem (Disclosure, Market Data, and the Role of Regulation)** • Stavros Gadinis (Professor of Law at Berkeley Law) • Maia Godemer (Sustainable Finance Associate at BloombergNEF) • Véronique Ormezzano (Founder and Chairperson, VYGE Consulting)

[1] Program Times: This agenda reflects times in Eastern Daylight Time in Boston, Massachusetts (ET, or GMT-4) and Brasilia Standard Time in Brasilia, Brazil (BST, or GMT-3).

- Moderated by Stefan Gavell (Vice Chair of Executive Education at the Program on International Financial Systems)

MONDAY, JUNE 5TH, 2023

9:00am – 10:00am (EDT)
10:00am – 11:00am (BST)

Panel Discussion: The Role of Asset Management in Sustainable Finance

- Claudia Castro (Director of Fixed Income Research at Invesco)
- Sudhir Roc-Sennett (Executive Director and Head of Thought Leadership & ESG at Vontobel Asset Management)
- Viet-Linh Nguyen (Head of the Strategy & Sustainable Finance Unit in the Department of Regulation Policy & International Affairs at the Autorité des Marchés Financiers)
- Moderated by Stefan Gavell (Vice Chair of Executive Education at the Program on International Financial Systems)

10:00am – 11:00am (EDT)
11:00am – 12:00pm (BST)

Joint Fireside Chat: The Role of Audit and Assurance in Sustainable Finance

- Reinaldo Oliari (Audit & Assurance Partner at Deloitte Brazil)
- Louisa Chender (Technical Specialist in ESG Policy and Advisory at the Financial Conduct Authority of the United Kingdom)
- Moderated by Jonathan Ondrejko (Senior Vice President of Programs at PIFS)

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Speaker Biographies

Jo-Anne Matear
Special Advisor to the Executive on Sustainable Finance and Emerging Regulatory Issues at the Ontario Securities Commission

As a member of the Ontario Securities Commission's Senior Management Team, Jo-Anne is the Special Advisor to the Executive on Sustainable Finance and Emerging Regulatory Issues. She has led several significant policy initiatives, including those focused on environmental, social and governance issues. For example, she co-leads the Canadian Securities Administrators' (CSA) initiatives regarding climate-related disclosures and diversity on corporate boards and in executive roles.

Jo-Anne was previously a Manager in the Corporate Finance Branch, where she led a team of lawyers, accountants and geologists in the oversight of public companies, including the review of prospectuses, continuous disclosure documents and applications for exemptive relief.

Prior to joining the Commission, Jo-Anne practised corporate and securities law in Toronto, Ontario and London, England. Jo-Anne received her Bachelor of Commerce (Honours) from Queen's University and her Bachelor of Laws from the University of Toronto.

Yasser Mounsif
Head of Corporate Finance and Financial Disclosure Department Moroccan Capital Market Authority (AMMC); Co-Chair of the SBFN Measurement Working Group

Yasser supervises the approval of issuer prospectuses as well as their compliance with ongoing information obligations. He is also actively involved in many regulatory projects and working groups aimed at market development, such as sustainable finance, where he had a significant contribution in drafting the Moroccan framework. Yasser joined the AMMC in 2008 where he held several positions before being appointed as Head of Corporate Finance and Financial Disclosure. Prior to joining the AMMC, he was responsible for internal auditing at a multinational company. Yasser serves as

the Chair of the Sustainability Working Group of the IOSCO AMERC committee.

Yasser holds a master's degree in corporate finance from Al Akhawayn University in Ifrane (Morocco).

Maia Godemer
Sustainable Finance Associate at BloombergNEF

Maia is a senior associate in the sustainable finance team for BloombergNEF (BNEF), which is a strategic research provider covering global commodity markets and the disruptive technologies driving the transition to a low-carbon economy. Maia particularly focuses on sustainable debt, transition finance and sustainable finance regulations. Maia was part of the EU Platform on Sustainable Finance as support of Bloomberg member Nadia Humphreys (also known as "Sherpa role") up until October 2022. Maia holds a Bachelor in Philosophy from Université Pierre Mendes France, as well as an MSc. in Management and an MSc. in Finance from Grenoble Ecole de Management.

Stavros Gadinis
Professor of Law at Berkeley Law

Professor Gadinis' research examines questions in corporate law and financial regulation, both domestic and international. He is particularly interested in the interplay between companies and regulators, exploring the institutional framework for law enforcement, compliance, and risk management. In the last few years, he has focused on sustainability and social issues as an attempt to expand the scope of corporate governance. In *Corporate Law and Social Risk (co-authored with Amelia Miazad)(2020 Vanderbilt Law Review)*, the focus is on stakeholder outreach as a governance system seeking to identify and address social risks for the business. In a follow-up article, *A Test of Stakeholder Capitalism (co-authored with Amelia Miazad)* they explore how corporations relied on feedback from stakeholders to address the implications of the Covid pandemic. His article *The Hidden Power of Compliance (co-authored with Amelia Miazad)(2019 Minnesota Law Review)* explores how extensive internal reporting within companies impacts the liability of board members.

In *Collaborative Gatekeepers (co-authored with Colby Mangels)(2016 Washington & Lee Law Review)* he explores anti-money laundering law as a model of pro-active misconduct reporting. Gadinis' work has also traced the spread of financial standards around the world, showing how private,

regulator, or government supports leads to distinct results (*Three Pathways to Global Standards, 2015 American Journal of International Law*). Gadinis has

argued that systemic risk reforms introduced after the 2008 financial crisis has resulted in increasing the role of political appointees over independent regulators in the oversight of the financial system (*2012 California Law Review*).

Before entering into academia, Gadinis practiced corporate law for four years in Europe. Gadinis completed his S.J.D. at Harvard in May 2010. He also holds an LL.M. degree from the University of Cambridge (UK), and a law degree from Aristotle University, Greece.

Véronique Ormezzano
Founder and Chairperson, VYGE Consulting

Véronique is an independent expert in financial regulation, financial policy, and sustainable finance, who collaborates with think tanks and industry associations in France, Europe, and internationally to promote effective regulation, sound risk management, efficient markets, ambitious ESG policies and financial stability. She is also a member of the EBA Banking Stakeholder Group.

In January 2023, Véronique retired from BNP Paribas, where she was most recently responsible for the definition of BNP Paribas' responses to new regulations, with a focus on prudential, capital markets and ESG regulations. She was also in charge of the group's relationships with regulatory authorities, industry associations, and peers, as relates to financial regulation and policies.

Claudia Castro
Director of Fixed Income Research at Invesco

Claudia Castro is a Director of Fixed Income Research for the Invesco Fixed Income team.

Ms. Castro joined Invesco when the firm combined with OppenheimerFunds in 2019. She joined OppenheimerFunds in 2004 and has covered emerging markets since 2000, with a special focus on the Americas and Africa. In addition to her work on emerging market sovereigns, Ms. Castro leads the firm's development of its ESG sovereign infrastructure solution. It combines Invesco's Fixed Income proprietary ESG scores with specialist insight to build

out qualitative assessments integrated into sovereign bond investing. Ms. Castro started her career in the global securities industry at Morgan Stanley,

serving in the global economics group. She held academic and research positions at various institutions, including the Belgium University of Louvain, the Central Bank of Spain, the International Monetary Fund, and the World Bank. Over the course of her tenures as an economist, political scientist, and financial market practitioner, she has covered a range of advanced, emerging, and frontier markets, focusing on political risk, policymaking, and capital markets. Earlier in her training career, she worked on various economic projects in Brazil, Switzerland, and Italy, at Companhia Vale do Rio Doce, Esso Brasil SA, International Trade Centre Unctad/GATT, and Pirelli Coordinamento Pneumatici.

Ms. Castro earned a BA degree in economics from Universidade do Estado do Rio de Janeiro, Brazil, a master's in political science from the University of Pennsylvania, and a master's and PhD in economics from Columbia University, where her main area of research was international finance.

Sudhir Roc-Sennett
Executive Director and Head of Thought Leadership & ESG at Vontobel Asset Management

Sudhir Roc-Sennett joined Vontobel Asset Management in August 2010. He leads the ESG, engagement, and proxy voting efforts for firm's Quality Growth Boutique. He also manages the boutique's thought leadership, bringing a more spontaneous conversation to clients and stakeholders on a broad range of subjects, including insights into the less seen workings of companies held.

Prior to joining Vontobel Asset Management, from 2007 to 2010, he was Director of European Cash Equity Sales and Head of Global Macro Sales at Société Générale. From 2005 to 2007, he worked as a Managing Director at Middlebury Equity Partners, a fund invested in private equity and mezzanine debt. From 2001 to 2005, he was President and Chief Executive Officer of Pompous Pot Foods. From 1995 to 2001, he was a Senior Equity Analyst and Head of Equity Research of the Americas at BNP Paribas, New York, and from 1992 to 1995, an Equity Analyst at Casa de Bolsa Interacciones in Mexico City. Prior to that, Sudhir Roc-Sennett began his financial career in 1989.

He received a Master of Science in Investment Analysis from the University of Stirling in the United Kingdom. He also is an associate of the CFA Society of the United Kingdom.

Viet-Linh Nguyen
Head of the Strategy & Sustainable Finance Unit in the Department of Regulation Policy & International Affairs at the Autorité des Marchés Financiers

Viet-Linh Nguyen is Head of Strategy and Sustainable Finance and Secretary to the Executive Committee of the French Market Authority (Autorité des Marchés Financiers) since March 2022. His unit is part of the Regulatory Policy and International Affairs Directorate.

He is involved in international and European negotiations relating to sustainable finance (e.g. IOSCO Sustainable Finance Task Force, ESMA Coordination Network on Sustainability). His transversal team is in charge of analyzing all ESG issues in a holistic manner, both regulation, market initiatives and emerging trends, and to foster stakeholders' engagement; in particular through the AMF Climate and Sustainable Finance Committee, that gathers more than 20 ESG actors (financial market participants, NGO, academics, public bodies).

He joined the AMF in 2018 as Deputy Director of the European and International Affairs Division. Prior to that, M. Nguyen held various positions at Banque de France, the French Prudential Authority Authority (ACPR) and the French Treasury.

Viet-Linh Nguyen holds a Master's degree from Sciences Po Paris and is an alumnus of HEC and Trinity College Dublin. He was a lecturer at Sciences Po Paris, and is currently a lecturer at the École des Hautes Études Internationales et Politiques on financial regulation and international negotiations.

Louisa Chender
Technical Specialist in ESG Policy and Advisory at the Financial Conduct Authority of the United Kingdom

Louisa is a Technical Specialist in the FCA's ESG Policy and Advisory team where she focuses on sustainability-related disclosures. She is currently leading on the Sustainability Disclosure Requirements and investment labels, having previously led on climate-related disclosure rules for asset managers and FCA-regulated asset owners. She also supports the IOSCO Sustainable Finance Taskforce's work on corporate reporting, particularly on assurance of sustainability-related disclosures.

Reinaldo Oliari
Audit & Assurance Partner at Deloitte Brazil

Mr. Oliari is an partner of audit and assurance at Deloitte Brazil in São Paulo office. He has over 20 years of experience in finance, accounting and auditing and 17 years at Deloitte, serving several large public and private companies in various business segments. He has B.S. in accounting by FEA/USP, Master of Business Administration (MBA) by Brazilian Business School (BBS).
He serves the large public and private multinational clients based in the Brazil and abroad. Oliari is also the leader of the ESG Assurance Brazil and Accounting & Reporting Advisory.

Oliari is member of IBRACON (Instituto de Auditoria Independente do Brasil) since 2018 and part of Sustainability and ESG Working Group.

He has deep experience with IFRS technical accounting matters and is experienced in the accounting and reporting requirements for complex transactions including hedge accounting, revenue recognition, business combinations, lease, carve-out, spin-off transactions, bankruptcy accounting, initial public offerings, and SOX readiness. He also had a two-year international assignment in London focused on foreign private issuers with IFRS to US GAAP reconciliations and as financial instrument specialist focusing on derivative valuations, hedge accounting, participation in multidisciplinary audit projects, SOX, risk and accounting advice, including SEC registrants.

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PROGRAM ON INTERNATIONAL FINANCIAL SYSTEMS

Executive Education Program on Sustainable Finance
Program Participant List

May-June 2023
Via Zoom

PARTICIPANT LIST:

Elzi Helene Monjardim Amigo
Examiner, CVM

Andre Luis de Oliveira Araujo
Agricultural Development Reform Analyst, Ministry of Agriculture

Paulo Coelho Avila
Infrastructure Analyst, Ministry of Economy

Thiago Gil Barreto Barros
Environmental Analyst, National Agency of Waters (ANA)

Claudio do Rego Barros Benevides
Advisor to Commissioner, CVM

Antonio Carlos Berwanger
Director, CVM

Cynthia Bariao da Fonseca Braga
Analyst , CVM

Cassio Felipe Bueno
Specialist in Civil Aviation Regulation, CVM

Sergio Henrique Bunioto
Analyst, CVM

Matias Rebello Cardomingo
Coordenador-Geral de Análise de Impacto Social e Ambiental, Ministry of Economy

Leandro Gomes Cardoso
Specialist in Public Policy and Government Management, Ministry of Agriculture

Samira Sana Fernandes de Sousa Carmo
Specialist in Public Policy and Government Management, Ministry of Mines and Energy

Carla Veronica Oliveira Chaffim
Analyst, CVM

Raul de Campos Cordeiro
Assistant Director, CVM

Daniel Valadao de Sousa Corgozinho
Analyst, CVM

Michelle da Rocha Daria Correa
Analyst, CVM

Fabiano Gumier Costa
Environmental Analyst, Chico Mendes Institute for Conservation and Biodiversity

Flavio Alves Da Silva
Analyst, CVM

Guilherme Azevedo Da Silva
Assistant Director, CVM

Michel Ferreira Da Silva
Analyst, CVM

Paulo Roberto De Souza Trajano Da Silva
Examiner, CVM

Gisele Gomes Da Silva
Specialist in Public Policy and Government Management, Ministry of Economy

Ivo Martin Daher
Analyst, CVM

Rodrigo Louvisse Pimentel Da Abreu
Examiner, CVM

Rodrigo Bonecini De Almeida
Specialist in Regulation of Land Transport Services, National Agency of Land Transportation (ANTT)

Guilherme Costa De Almeida
Analyst, CVM

Paulo Roberto Portinho De Carvalho
Assistant Director, CVM

Rafael Vieira De Lima
Analyst, CVM

Douglas De Marco
Analyst, CVM

Luiz Camargo De Miranda
Specialist in Public Policy and Government Management, Ministry of Economy

Nilza Maria Silva De Oliveira
Assistant Director, CVM

Mirian De Oliveira
Environmental Analyst, Ministry of Environment

Jose Antonio De Souza De Souza
Analyst, CVM

Raphael Acacio Gomes Dos Santos De Souza
Assistant Director, CVM

Vera Lucia Simoes Alves Pereira De Souza
Director, CVM

Adelino Cecio Soares Dias
Infrastructure Analyst, Ministry of Regional Development

Alexandrew Pinheiro Dos Santos
General Director, CVM

Luciana De Barros Magalhaes Gomes
Analyst, CVM

Eduardo Granha Magalhaes Gomes
Specialist in Public Policy and Government Management, Ministry of Economy

Hugo Leonardo Gosmann
Specialist in Public Policy and Government Management, Ministry of Economy

Paulo Cesar Vaz Guimaraes
Specialist in Public Policy and Government Management, Administrative Council for Economic Defense (CADE) (Brazilian Antitrust Authority)

Felipe De Paiva Lima
Analyst, CVM

Guilherme Rocha Lopes
Analyst, CVM

Fernando Dambros Lucchesi
Inspector, CVM

Lucas Ramalho Maciel
Specialist in Public Policy and Government Management, Ministry of Economy

Claudio Goncalves Maes
Assistant Director, CVM

Cleber Martinze
Infrastructure Analyst, Ministry of Infrastructure

David Menegon
Analyst, CVM

Gustavo Dos Santos Mule
Assistant Director, CVM

Jose Pedro Bastos Neves
Federal Financial and Control Auditor, Ministry of Economy

Alex Aurelino Albuquerque Nunes
Analyst, CVM

Flávia Martins Sant Anna Perlingeiro
Commissioner, CVM

Carlos Alexandre Principe Pires
Specialist in Public Policy and Government Management, Ministry of Economy

Natalia Hoffmann Ramos
Infrastructure Analyst, National Oil Agency (ANP)

Cinthia De Fatima Rocha
Federal Financial and Control Auditor, Ministry of Economy

Adriane Helena Rodrigues
Analyst, CVM

Joao Evaldo De Carvalho Tavares
Examiner, CVM

Gustavo Luchese Unfer
Analyst, CVM

Nathalie De Andrade Araujo Matos Vidual
Director, CVM

Fernando Soares Vieira
Director, CVM