The Program on International Financial Systems (PIFS) and
the Securities & Exchange Board of India (SEBI)

Policy Seminars on Policy Issues in Modern Capital Markets
Program Agenda

October 5-26, 2023
Via Zoom

THURSDAY, OCTOBER 5, 2023
PROMOTING RETAIL PARTICIPATION IN CAPITAL MARKETS AND THE APPLICATIONS OF ARTIFICIAL INTELLIGENCE IN MODERN FINANCE

7:00am (EDT)[1]
4:30pm (IST)

Welcome
- Jonathan Ondrejko (Senior Vice President of Programs at PIFS)

7:00am – 8:00am (EDT)
4:30pm – 5:30pm (IST)

Lecture: Key Policy Considerations regarding Retail Participation in Capital Markets
- James Angel (Associate Professor at the McDonough School of Business at Georgetown University)

8:00am – 8:30am (EDT)
5:30pm – 6:00pm (IST)

Fireside Chat: The Policymaker's Perspective
- Alp Eroglu (Senior Policy Advisor at the International Organization of Securities Commissions)
- Moderated by Jonathan Ondrejko (Senior Vice President of Programs at PIFS)

8:30am – 9:00am (EDT)
6:00pm – 6:30pm (IST)

Presentation: The Applications of Artificial Intelligence in Modern Finance
- Gary Kazantsev (Global Head of Quant Technology Strategy at Bloomberg)

[Continued on Next Page]

[1] Program Times: This agenda reflects times in Eastern Daylight Time (EDT, or GMT-4:00) and India Standard Time (IST, or GMT+5:30).

Thursday, October 12, 2023
Promoting Corporate and Government Bond Markets

7:00am – 7:30am (EDT)
4:30pm – 5:00pm (IST)

Presentation: Global Bond Market Trends

- Katharine Furber (Global Head of Emerging Markets Trading at Bloomberg)

7:30am – 8:15am (EDT)
5:00pm – 5:45pm (IST)

Presentation: The Development of Bond Markets, and What Does Global Index Inclusion Mean for the Indian Bond Market?

- Arun Kohli (Managing Director and Country Head of India at Morgan Stanley)
- Min Dai (Head of Asia Macro Strategy at Morgan Stanley)

Thursday, October 19, 2023
The Role of Technology in Capital Markets

7:00am – 7:30am (EDT)
4:30pm – 5:00pm (IST)

Fireside Chat: Digital Assets and Considerations for Regulators

- Connor Kortje (Senior Research Fellow at the Committee on Capital Markets Regulation)
- Moderated by Jonathan Ondrejko (Senior Vice President of Programs at PIFS)

7:30am – 8:00am (EDT)
5:00pm – 5:30pm (IST)

Fireside Chat: The Role and Regulation of Digital Assets and Digital Asset Trading Platforms

- Jonathan Jachym (Global Head of Policy at Kraken Digital Asset Exchange)
- Moderated by Jonathan Ondrejko (Senior Vice President of Programs at PIFS)

8:00am – 9:00am (EDT)
5:30pm – 6:30pm (IST)

Joint Presentation and Discussion: Technology, Market Structure, and Regulation

- Adam Nunes (Head of Business Development at Hudson River Trading)
- Priyanka Jain (Compliance Officer for India at Hudson River Trading)
- Moderated by John Gulliver (John Gulliver, Executive Director of PIFS)

THURSDAY, OCTOBER 26, 2023
PROMOTING CORPORATE AND GOVERNMENT BOND MARKETS

8:00am – 9:00am (EDT)
5:30pm – 6:30pm (IST)

Lecture: Promoting Bond Market Development

- Victoria Ivashina (Lovett-Learned Professor of Finance and Head of the Finance Unit at Harvard Business School)

Speaker Biographies

James Angel
Associate Professor at the McDonough School of Business at Georgetown University

Professor Angel specializes in the market structure and regulation of global financial markets, and he has visited over 70 financial exchanges around the world. He teaches undergraduate, MBA, and executive courses, including Investments and Capital Markets. "Dr. Jim" has testified before Congress about issues relating to the design of financial markets. In addition, he has been quoted in hundreds of newspaper articles and has appeared numerous times on radio and television. Dr. Jim began his professional career as a rate engineer at Pacific Gas and Electric, where he worked on FERC and CPUC related issues. Along the way he has also worked at BARRA (later part of Morgan Stanley) where he developed equity risk models. He has also served as a Visiting Academic Fellow in residence at the National Association of Securities Dealers (NASD – now FINRA) and as a visiting economist at the Shanghai Stock Exchange. He has also been chairman of the Nasdaq Economic Advisory Board, a member of the OTC Bulletin Board Advisory Committee, and has served on the board of directors of the Direct Edge Stock Exchanges (later part of BATS Global Markets). From 2012-2014 he was a visiting associate professor at the Wharton School of the University of Pennsylvania. Professor Angel is a co-inventor on 12 fintech patents.

Alp Eroglu
Senior Policy Advisor at the International Organization of Securities Commissions

Alp joined IOSCO in March 2010 and is responsible for IOSCO's policy work on a variety of matters, including issues considered by IOSCO Committee 3 on Regulation on Market Intermediaries, Committee 7 on Derivatives, market conduct, financial benchmarks and price reporting agencies, SME and infrastructure financing and compensation practices. He also conducts regulatory workshops on numerous topics.
Alp has over 20 years of professional experience, both in securities regulation and the private sector. He has an undergraduate degree from the Ankara Law School, and postgraduate degrees from the University of Pennsylvania Law School and the Wharton Business School.

Gary Kazantsev
Global Head of Quant Technology Strategy at Bloomberg

Gary is the Head of Quant Technology Strategy in the Office of the CTO at Bloomberg. Prior to taking on this role, he created and headed the company's Machine Learning Engineering group, leading projects at the intersection of computational linguistics, machine learning and finance, such as sentiment analysis of financial news, market impact indicators, statistical text classification, social media analytics, question answering, and predictive modeling of financial markets.
Prior to joining Bloomberg in 2007, Gary had earned degrees with distinction in physics, mathematics, and computer science from Boston University.
He is engaged in advisory roles with FinTech and Machine Learning startups and has worked at a variety of technology and academic organizations over the last 20 years. In addition to speaking regularly at industry and academic events around the globe, he is a member of the KDD Data Science + Journalism workshop program committee and the advisory board for the AI & Data Science in Trading conference series. He is also an adjunct professor at Columbia University, and a co-organizer of the annual Machine Learning in Finance conference at Columbia University.

Katharine Furber
Global Head of Emerging Markets Trading at Bloomberg

Arun Kohli
Managing Director and Country Head of India at Morgan Stanley

Arun Kohli is a Managing Director and Country Head of India where he oversees all the Firm's businesses, including the Global Centers in Mumbai and Bengaluru. He is also closely involved in developing the Firm's strategic alliance with MUFG.
Prior to moving to India earlier this year, Arun had been the Chief Operating Officer for Morgan Stanley EMEA since 2016. In this role he was responsible for developing and implementing business strategy and overseeing the business operations of the region. He also led all aspects of post-Brexit strategy.
Arun joined the Firm in 2007 within the Firm's Strategy & Execution team based in New York. There, he led several strategic initiatives for the Firm before moving to Hong Kong in 2012, where he spent four years serving as the Chief Operating Officer for Asia Pacific.
Before joining Morgan Stanley, Arun worked within the Financial Institutions practice at McKinsey, New York serving as an Associate Principal where he

advised a number of leading financial services firms on strategy. Prior to that, Arun spent five years in India with CRISIL (the Indian subsidiary of S&P). He attended the University of Delhi, graduating with Honours in Engineering and received an MBA from Wharton School, graduating as a Palmer Scholar.

Min Dai
Head of Asia Macro Strategy at Morgan Stanley

Min Dai is Head of Asia Macro Strategy. He is responsible for formulating the macro strategy view in Asia. In the past few years, he has developed the GBI-EM positioning tracker and led the flagship publication "GBI-EM Investors' Lowdown", which is widely followed by real money and hedge funds. He was recognized by Institutional Investor (II) Survey and ranked No 1. FX/rates analyst in 2021/2022. Before 2018, he worked in London and covered CEEMEA local markets and was ranked in II EMEA individual analyst survey in 2018/2019. He holds an MSc in finance from the London School of Economics and a BSc in management information systems from Fudan University. He is a CFA and FRM charterholder.

Connor Kortje
Senior Research Fellow at the Committee on Capital Market Regulation

Connor Kortje joined the Committee on Capital Markets Regulation as a senior research fellow in October 2021. Before joining the Committee, Connor practiced tax law at Ropes & Gray in Boston, where he advised asset managers, financial institutions, and operating companies on domestic and international tax matters. Connor holds a J.D. from Harvard Law School (2015) and a B.A. from the University of Toronto (2010).

Jonathan Jachym
Global Head of Policy at Kraken Digital Asset Exchange

Jonathan Jachym is the Deputy General Counsel and Global Head of Policy at Kraken, one of the oldest and largest digital asset businesses. He is responsible for developing policy positions, advocacy strategies, and regulatory and political engagement across Kraken's key markets around the world. Prior to joining Kraken, Jonathan held several roles at the London Stock Exchange Group based in Washington, DC, leading their Regulatory Strategy and Government Relations team globally and in London at CME Group leading EMEA government relations. Prior to joining CME, Jonathan was legal and regulatory counsel at the U.S. Chamber of Commerce.

Adam Nunes
Head of Business Development at Hudson River Trading

Adam Nunes is business operations lead at Hudson River Trading, a multi-asset class, quantitative trading and market making firm based in New York with offices in Chicago, Austin, London, Dublin, Singapore, Mumbai, and Shanghai. At Hudson River Trading, Mr. Nunes is focused on the firm's global risk and compliance operations.

Prior to joining Hudson River Trading in 2009, Mr. Nunes was Vice President, Transaction Services and Head of U.S. Options at NASDAQ where he oversaw the acquisition and integration of NASDAQ PHLX and was responsible for the NASDAQ Options Market. Prior to focusing on options, he was responsible for developing policy initiatives, new products, product enhancements and pricing for NASDAQ's equity transaction products.

Mr. Nunes joined NASDAQ in 2000 as a Research Analyst in the Economic Research Department. Mr. Nunes began his career as a Research Associate at Economists Incorporated, an economic consulting firm based in Washington, DC. Mr. Nunes received his BBA in Finance from the George Washington University.

Priyanka Jain
Compliance Officer for India at Hudson River Trading

John Gulliver
Executive Director at PIFS

John Gulliver is the Executive Director of the Program on International Financial Systems. John oversees all of PIFS' activities, including research, events, and training programs for financial regulators. PIFS' research focuses on policy issues impacting the global financial system, such as equity market structure, liquidity in U.S. Treasury markets, stress testing large banking institutions and the rise of cloud computing. John drafts PIFS' reports and formulates policy recommendations with PIFS' President, Hal Scott. PIFS' events include international symposia, US-Japan (25 years), US-China (20 years), US-Europe (21 years).

In addition to hosting the symposia, John works with PIFS' partners and sponsors to determine the topics, speakers, and participants at each private off-the-record event. PIFS also delivers several training programs for financial

regulators, including two programs in partnership with the International Organization of Securities Commissions and a program for financial regulators

in China. John collaborates with academics, government officials and private-sector experts to determine the policy issues of focus for each program and designs the curriculum.

Victoria Ivashina
Lovett-Learned Professor of Finance and Head of Finance Unit at Harvard Business School

Victoria Ivashina is the Lovett-Learned Professor of Finance and Head of the Finance Unit at Harvard Business School. Professor Ivashina is also the faculty chair of the Global Initiative for the Middle East and North Africa (MENA)region. She is a Research Associate at the National Bureau of Economic Research (NBER), a Research Fellow at the Center for Economic Policy Research (CEPR), a Visiting Scholar at the Federal Reserve Bank of Boston, and a member of the Model Validation Council at the Board of Governors of the Federal Reserve System. Professor Ivashina co-heads Harvard Business School's Private Capital Initiative and Private Equity and Venture Capital (PEVC) executive education program. She is a co-author of Patient Capital: The Challenges and Promises of Long-Term Investing and Private Equity: A Case Book.

The Program on International Financial Systems (PIFS),
and the Securities & Exchange Board of India

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